



Local Government Performance Assessment

Kaliro District

(Vote Code: 561)

Assessment	Scores
Accountability Requirements	83%
Crosscutting Performance Measures	70%
Educational Performance Measures	69%
Health Performance Measures	53%
Water Performance Measures	89%

Summary of requirements	Definition of compliance	Compliance justification	Compliant?
Annual performance contract			
<p>LG has submitted an annual performance contract of the forthcoming year by June 30 on the basis of the PFMAA and LG Budget guidelines for the coming financial year.</p>	<ul style="list-style-type: none"> • From MoFPED's inventory/schedule of LG submissions of performance contracts, check dates of submission and issuance of receipts and: <ul style="list-style-type: none"> o If LG submitted before or by due date, then state 'compliant' o If LG had not submitted or submitted later than the due date, state 'non-compliant' • From the Uganda budget website: www.budget.go.ug, check and compare recorded date therein with date of LG submission to confirm. 	<p>The Performance Contract was submitted on 21st July 2019 and this was within the MoFPED adjusted submission date of 31st August, 2019.</p>	Yes
Supporting Documents for the Budget required as per the PFMA are submitted and available			
<p>LG has submitted a Budget that includes a Procurement Plan for the forthcoming FY by 30th June (LG PPDA Regulations, 2006).</p>	<ul style="list-style-type: none"> • From MoFPED's inventory of LG budget submissions, check whether: <ul style="list-style-type: none"> o The LG budget is accompanied by a Procurement Plan or not. If a LG submission includes a Procurement Plan, the LG is compliant; otherwise it is not compliant. 	<p>Kaliro LG submitted the approved Budget Estimates that included a Procurement Plan for the FY 2019/20 on 21st July, 2019 thus being within the adjusted time frame of 31st August, 2019.</p>	Yes
Reporting: submission of annual and quarterly budget performance reports			
<p>LG has submitted the annual performance report for the previous FY on or before 31st July (as per LG Budget Preparation Guidelines for coming FY; PFMA Act, 2015)</p>	<p>From MoFPED's official record/inventory of LG submission of annual performance report submitted to MoFPED, check the date MoFPED received the annual performance report:</p> <ul style="list-style-type: none"> • If LG submitted report to MoFPED in time, then it is compliant • If LG submitted late or did not submit, then it is not compliant 	<p>The annual performance report for FY 2018/19 was submitted on 21st July, 2019 and this was within the adjusted submission date of 31st August, 2019</p>	Yes

<p>LG has submitted the quarterly budget performance report for all the four quarters of the previous FY by end of the FY; PFMA Act, 2015).</p>	<p>From MoFPED's official record/ inventory of LG submission of quarterly reports submitted to MoFPED, check the date MoFPED received the quarterly performance reports:</p> <ul style="list-style-type: none"> • If LG submitted all four reports to MoFPED of the previous FY by July 31, then it is compliant (timely submission of each quarterly report, is not an accountability requirement, but by end of the FY, all quarterly reports should be available). • If LG submitted late or did not submit at all, then it is not compliant. 	<p>The quarter 4 budget performance reports were submitted within deadline of 31st July 2019 as per PFMA,2015 as shown in the table below;</p> <p>Quarter 1 report was submitted on 29th November, 2018</p> <p>Quarter 2 report was submitted on 15th March, 2019</p> <p>Quarter 3 11th June,2019 PBS 13th June,2019</p> <p>Quarter 4 report was submitted on 14th August, 2019 and acknowledged on 16th August,2019</p>	<p>Yes</p>
<p>Audit</p>			
<p>The LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and the Auditor General's findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes actions against all findings where the Internal Auditor and the Auditor General recommended the Accounting Officer to take action in lines with applicable laws.</p>	<p>From MoFPED's Inventory/record of LG submissions of statements entitled "Actions to Address Internal Auditor General's findings",</p> <p>Check:</p> <ul style="list-style-type: none"> • If LG submitted a 'Response' (and provide details), then it is compliant • If LG did not submit a 'response', then it is non-compliant • If there is a response for all – LG is compliant • If there are partial or not all issues responded to – LG is not compliant. 	<p>The LG had provided information to the PS/ST on the status of implementation of Internal Auditor General 's findings for the previous financial year 2017/2018 on 10th January 2019. A total number of 4 queries were raised and they were still pending by the time of appraisal.</p> <p>There was no documentary evidence that the LG had provided information to the PS/ST on the status of implementation of the 12 queries raised by the Auditor General in FY 2017/2018.</p>	<p>No</p>
<p>The audit opinion of LG Financial Statement (issued in January) is not adverse or disclaimer.</p>		<p>The Auditor General's Opinion for the financial statements of the LG for the year ended 30th June, 2019 was unqualified.</p>	<p>Yes</p>

Summary of requirements	Definition of compliance	Compliance justification	Score
Planning, budgeting and execution			
<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<p>Evidence that a district/ municipality has:</p> <ul style="list-style-type: none"> • A functional Physical Planning Committee in place that considers new investments on time: score 1. 	<p>Kaliro District had a functional Physical Planning Committee. However, it was not fully constituted as per the Physical Planning Act 2010, Part III (9). The Physical Planning Committee was composed 12 members instead of 13 members stipulated by the above ACT. They were appointed by the CAO on 6th October, 2014 under Min No:07/KDLC/2014/2015. They included the following members;</p> <ol style="list-style-type: none"> 1. Akubonabona Yusuf designated as Principal Assistant Secretary (Chairperson) 2. Takuwa Janet designated as Physical Planner (Secretary) 3. Diogo Paul designated as Acting District Natural Resources Officer (Member) assigned on 8th December, 2018 under reference CR 156/1 4. Namukose Irene designated as District Community Development Officer 5. Dr. Katamba Allan designated as District Health Officer (Member) 6. Sajja Samuel designated as Senior Agricultural Officer (Member) 7. Edhaya David designated as District Water Engineer (Member) 8. Nyonyi Paul designated as District Engineer (Member) 9. Kamaga Edward designated as district Education Officer (Member) 10. Kategere Edward designated as Town Clerk Namwiwa Town Council (Member). 11. Diogo Paul designated as District Environmental Officer (Member) 12. Naita Julius designated as Senior lands officer (Member) <p>The Committee sat during the FY 2018/2019. The sampled minutes indicated that the PPC met as below;</p> <p>Date of meeting: 15th April, 2019</p> <p>Matters discussed: Presentation and discussion of Namukooge Development Plan under Minute no. 04/2018/19 (6) of 15/04/2019</p> <p>Kaliro District also had a plan submission register book which was opened in 2014. A sample of applications indicated that the PPC had approved plans within 30 day or less from the date of submission.</p> <p>For example; application by Namuwoza Dennis and Nakigude Annet, was submitted on 1st April, 2019 and was approved on 15th April 2019; representing a turn -around time of 14 days</p> <p>A second sampled application involved Ibanda Robert Swaga, submitted on 3rd September, 2019 and was approved on 24th September,2019 representing a turn-around time of 21 days</p>	0

<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<ul style="list-style-type: none"> Evidence that district/MLG has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD score 1. 	<p>The LG submitted 4 sets of minutes of Physical Planning Committee to the MoLHUD as follows;</p> <ul style="list-style-type: none"> Quarter 1 minutes dated 24th September, 2018 were submitted on 9th November, 2018 Quarter 2 minutes dated 3rd December, 2018 were submitted 5th March, 2019 Quarter 3 minutes dated 1st March, 2019 were submitted 20th May, 2019 Minutes dated 15th April, 2019 were submitted 20th May, 2019 	<p>1</p>
<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<ul style="list-style-type: none"> All infrastructure investments are consistent with the approved Physical Development Plan: score 1 or else 0 	<p>The LG did not have a Physical Development Plan. It was difficult to measure the consistence between the PDP and the infrastructure investments.</p>	<p>0</p>
<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<ul style="list-style-type: none"> Action area plan prepared for the previous FY: score 1 or else 0 	<p>The LG had Action Area Plan for the previous FY 2018/19. The plan for Namukooge Trading centre was prepared by Spatial Data Limited and was approved on 25th April, 2019 under MIN No: 40/KDLC/APRIL/2018-19</p>	<p>1</p>

<p>The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles</p> <p>Maximum 5 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that priorities in AWP for the current FY are based on the outcomes of budget conferences: score 2. 	<p>There was evidence that the priorities in AWP for the current FY were based on the outcomes of budget conference.</p> <p>The priorities were discussed in the Budget Conference held on 13th November, 2018 and were found on page 1-5 of the Budget Conference report.</p> <p>Five prioritized investment activities were sampled and were shown in both AWP and DDP as presented below;</p> <p>Water</p> <ul style="list-style-type: none"> • Drilling of 15 water sources at Nawaikoke S/C, Buyinda S/C, Kasokwe S/C, Kisinda S/C, Gadumire S/C, Bumany S/C, Namwiwa S/C. (Page 4 of the Budget Conference report and page 91 of the AWP) <p>Education</p> <ul style="list-style-type: none"> • Construction of a Seed Secondary School at Bukamba Sub-County (Page 5 of the Budget Conference report and Page 82 of the AWP) • Construction of a 2-classroom block at Budehe P/S (Page 5 of the Budget Conference report and Page 80 of the AWP) <p>Natural Resources</p> <ul style="list-style-type: none"> • Development of a detailed physical plan for Kyani T/C (Page 5 of the Budget Conference report and Page 96 of the AWP). 	2
<p>The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles</p> <p>Maximum 5 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the capital investments in the approved Annual work plan for the current FY are derived from the approved five-year development plan. If differences appear, a justification has to be provided and evidence provided that it was approved by the Council. Score 1. 	<p>The capital investments in the approved annual work plan were derived from the approved 5-year development plan as indicated below:</p> <p>Education</p> <p>Construction of a 2 class room block at Bugoda P/S (Page 77 of AWP and Page 162 of the DDP)</p> <ul style="list-style-type: none"> • Construction of a 3 Stance pit latrine at Namawa P/S (Page 39 of the AWP and Page 162 of the DDP) <p>Health</p> <ul style="list-style-type: none"> • Construction of a 5 Stance Pit latrine at Namugongo HC III (Page 74 of AWP and Page 161 of the DDP) • Construction of boreholes in the following Sub-Counties: Namwiwa, Kisinda, Buyinda, Kasokwe and Gudumire (Page 63 of AWP and Page 63 of the DDP) 	1

<p>The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles</p> <p>Maximum 5 points on this performance measure.</p>	<ul style="list-style-type: none"> Project profiles have been developed and discussed by TPC for all investments in the AWP as per LG Planning guideline: score 2. 	<p>There was evidence that the project profiles were developed and discussed by the TPC in their meeting held on 9th April, 2019 under Minute Number: 7/DTPC/APRIL 2019 as per LG Planning guidelines.</p> <p>A sample of a project profile for FY 2018/2019:</p> <p>Department: Education and Sports</p> <p>Sector: Education</p> <p>Code: KDLG-06- 121470-002</p> <p>Project Name: Construction of 2 classrooms at Bugoda Primary School</p> <p>Location: Bugoda Primary School</p> <p>Implementation Agency: Kaliro District Council</p> <p>Total Planned expenditure: 60,000,000</p> <p>Funds Secured: Shs 60,000,000</p> <p>Funding gap.....Nil</p> <p>Operating cost: Shs60,000,000</p> <p>Start date: July 2018</p> <p>Completion date: June 2019</p> <p>Objectives</p> <p>To provide classrooms for pupils</p> <p>Background</p> <p>When Kaliro District was created the education, department realized that the schools were few with dilapidated buildings. Pupils and teachers did not have enough classroom buildings causing commotion and school dropouts. Aware of the importance of education to Ugandans and Kaliro in particular, the Ministry of Education and Sports through the Ministry of Finance secured funding in form of SCDG to provide more classroom space by constructing classrooms in the district</p> <p>Monitoring and Supervision</p> <p>The School Management Committee shall take full responsibility by supervising work, and approving the certificate of works issued by the District Engineer.</p> <p>The Chief Administrative Officer, the District Education Officer and the District Engineer will also monitor and supervise during construction.</p> <p>Environment Management Plan</p> <p>Fill sand pits, Plant grass and fill gullies, Environment monitoring and audit, Training teachers and pupils in plantation management</p>	2
<p>Annual statistical abstract developed and applied</p> <p>Maximum 1 point on this performance measure</p>	<ul style="list-style-type: none"> Annual statistical abstract, with gender-disaggregated data has been compiled and presented to the TPC to support budget allocation and decision-making- maximum score 1. 	<p>Annual Statistical Abstract was compiled and presented to the TPC for discussion during its meeting held on 29th April, 2018 under Minute number 05/DTPC/29/04/2019 where statistical abstract, with gender disaggregated data was used to support budget allocation and decision-making.</p>	1

<p>Investment activities in the previous FY were implemented as per AWP.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that all infrastructure projects implemented by the LG in the previous FY were derived from the annual work plan and budget approved by the LG Council: score 2 	<p>All infrastructure projects implemented by the LG in the previous FY were derived from the Annual Work Plan and budget approved by the LG Council:</p> <p>A sample of 4 Infrastructure projects implemented by the LG in the previous FY 2018/2019, indicated that they were derived from the Annual Work Plan and Budget approved by the LG Council under Minute 13464/KDLC/MAY/2018-19</p> <ul style="list-style-type: none"> The construction of a 2-classroom block at Bugoda P/S appeared on page 38 of the AWP Construction of a 3-stance pit latrine at Namawa P/S was on page 39 of the AWP and budget estimates FY 2018/19 Construction of a 5-stance lined pit latrine at Namugingo HCIII was on page 29 of the AWP Drilling of boreholes in Namwiwa, Kisinda, Buyunda, Kasokwe and Gadumire sub Counties appeared on pages 52-53 of the AWP FY 2018/19 	2
<p>Investment activities in the previous FY were implemented as per AWP.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the investment projects implemented in the previous FY were completed as per work plan by end for FY. <p>o 100%: score 4</p> <p>o 80-99%: score 2</p> <p>o Below 80%: 0</p>	<p>There was evidence that investment projects implemented in the FY 2018/19 were completed as per the work plan. For instance;</p> <ul style="list-style-type: none"> Construction of a 2-classroom block at Bugoda P/S was completed as per work plan Construction of a 3 Stance pit latrine at Namawa P/S was completed as per work plan Construction of a 5 Stance pit latrine at Namugongo HC III was completed as per work plan Construction of boreholes in Namwiwa S/C, Kisinda S/C, Buyinda S/C, Kasokwe S/C and Gadumire S/C <p>Percentage of completed projects was calculated by dividing completed (4) by total projects sampled (4) projects multiplied by 100 and this was 100% projects completed as per the work plan.</p>	4
<p>The LG has executed the budget for construction of investment projects and O&M for all major infrastructure projects during the previous FY</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> Evidence that all investment projects in the previous FY were completed within approved budget – Max. 15% plus or minus of original budget: score 2 	<p>There was evidence that all investment projects in FY 2018/19 were completed within the approved budget as indicated below;</p> <ul style="list-style-type: none"> Construction of a 2-classroom block at Bugoda P/S budgeted at UGX 60,000,000 was implemented at UGX 50,751,540 per Voucher Nos. 3/12 & 2/3 Construction of a 5-stance pit line at Nnamugongo HC III budgeted at UGX 14,500,000 was implemented at UGX 15,174,520 per Voucher No. 03/12 Upgrade of Bukonero HC II to HC III budgeted at UGX 500,000,000 was implemented at UGX 399,273,240 <p>Construction of boreholes at Namwiwa, Kisinda, Buyinda, Kasokwe and Gadumire sub Counties budgeted at UGX 541, 888,000 was implemented at UGX 488,508,108</p> <p>Total budgeted was UGX 1,116,388,000 against expenditure of UGX 983,787,569</p> <p>$983,787,569/1,116,388,000 \times 100 = 88\%$</p> <p>This was 12% less which was within the range of + or – 15%</p>	2

<p>The LG has executed the budget for construction of investment projects and O&M for all major infrastructure projects during the previous FY</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that the LG has budgeted and spent at least 80% of the O&M budget for infrastructure in the previous FY: score 2 	<p>Kaliro District did not budget for O&M in FY 2018/19. However, the Assessment team did see one voucher of UGX 11,745,300 (VR 05/12) spent on renovation of the DHO's office but there was no evidence of the budget in the draft accounts for this expenditure</p>	<p>0</p>
<p>Human Resource Management</p>			

<p>LG has substantively recruited and appraised all Heads of Departments</p> <p>Maximum 5 points on this Performance Measure</p>	<ul style="list-style-type: none"> Evidence that the LG has filled all HoDs positions substantively: score 3 	<p>Kaliro LG had a staff structure that was approved by Permanent Secretary on 10th April, 2017 under ARC 135/306/01 reflecting a total of 9 Heads of Departments.</p> <p>The LG did not meet the condition of substantively filling all HoDs because out of the approved 9 departments, 3 were substantively filled while 6 were occupied by officers who were acting or assigned to the role of HoD. The LG had the following departments; Administration, Finance, Planning, Works, Education, Community Based Services, Natural Resources, Production, Trade, Industry and Local Economic Development and Health.</p> <p>The departments were substantively filled as follows;</p> <ul style="list-style-type: none"> Planning, was filled by Wankya Tom Francis. He was appointed on 30th October, 2017 under the direction of the Kaliro DSC under Min. No.KLR/DCS/190(iv)2007 as District Planner; Education, was filled by Kamaga Edward. He was appointed on 14th May, 2019 on accelerated promotion under the direction of the Kaliro DSC under Min. No.KLR/DCS/04/2019(i) as District Education Officer; Production, was filled by Mbalumya Fred Max. He was appointed on 7th May, 2019 on accelerated promotion under the direction of the Kaliro DSC under Min. No. KLR/DCS/04/2019(i) from Principal Fisheries Officer to District Production Officer; <p>The Departments with Officers in acting capacities following the assignment of duty by the CAO in accordance with the Standing Orders (E-C) 8 (b) were;</p> <ul style="list-style-type: none"> Finance, was filled by Mutome Godfrey. He had been appointed on 8th December, 2016 as Principal Internal Auditor under Min. No. KLR/DSC/99(i)2016. The CAO assigned him as Acting CFO under Ref. CR 156/4 dated 29th June 2018; Works, was filled by Nyonyo Paul. He had been appointed on 1st September, 2016 as Senior Engineer under Min. No. KLR/DSC/95(ix)2007. The CAO assigned him as Acting District Engineer under Ref. CR 156/1; Community Based Services, was filled by Namukose Irene. She had been appointed on promotion on 6th April, 2011 as Senior Community Development Officer under Min. No. KLR/DSC/05/2011(i). The CAO assigned her as Acting District Community Development Officer under Ref. CR 156/4 effective 1st September 2018. Natural Resources, was filled by Diogo Paul. He had been appointed on promotion on 8th June, 2016 as Senior Environment Officer under Min. No. KLR/DSC/92/2016(x). The DSC appointed him as Acting District Natural Resources Officer under Ref. CR 156/1 and Min No. KLR/DSC/99(i)/2016 dated 8th December 2016. Health, was filled by Katamba Allan S Semakula (appointed on promotion to Senior Medical Officer on 22nd January 2013 Min. No KLR/DSC/051/2012(i)). He was appointed on Assignment by CAO on 29th August, 2018 under Ref. CR 156/4. Trade, Industry and Local Economic Development, was filled by Muwanika Christopher (appointed to the position of Commercial Officer on 20th January 2016 under Min No. KLR/DSC/087/2016 (v)). He was appointed as Voter Controller of Trade, Industry and Local Economic Development department by CAO on 28th June, 2019 under Ref. CR 156/1. He is the only staff in the department at the moment.
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<p>LG has substantively recruited and appraised all Heads of Departments</p> <p>Maximum 5 points on this Performance Measure</p>	<ul style="list-style-type: none"> Evidence that HoDs have been appraised as per guidelines issued by MoPS during the previous FY: score 2 	<p>The LG met the condition of appraising 100% of the HoDs because all the 9 HoDs were appraised.</p> <p>The following HoDs were appraised by the CAO as follows;</p> <ul style="list-style-type: none"> Mutome Godfrey was appraised on 14th August, 2019 with comment: "The officer's performance was good. Advised to take disciplinary action against subordinate officers" Wankya Tom was appraised on 21st July, 2019 with comment: "His performance is generally good" Nyonyi Paul Tom was appraised on 1st July, 2019 with comment: "His performance is generally good" Kamya Edward was appraised on 14th August, 2019 with comment: "Good work". Namukose Irene was appraised on 14th August 2019 with comment: "The performance overall was very good." Diogo Paul was appraised on 14th August, 2019 with comment: "The officer's performance was very good." Mbalumya Fred was appraised on 14th August 2019 with comment: "The officer's performance is very good. He is committed and diligent." Katamba Allan Ssemakula was appraised on 15th July, 2019 with comment: "Dr. Katamba Ssemakula is a dedicated and committed officer. He is result oriented." Muwaniika Christopher was appraised on 23rd July 2019 with comment: "The officer attended to duties." 	<p>2</p>
<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> Evidence that 100 % of staff submitted for recruitment have been considered: score 2 	<p>The LG made submissions for recruitment and delivered to District Service Commission declaring vacancies that that required filling both on replacement and/or new entry. Submission dated 18th June, 2019 contained 3 positions, submission dated 10th April, 2019 contained 12 positions; submission dated 3rd April, 2019 contained 6 positions, submission dated 8th February, 2019 contained 4 positions, submission dated 2nd November, 2018 contained 1 positions, submission dated 12th November, 2018 contained 4 positions, submission dated 9th November, 2018 contained 1 position, submission dated 28th November, 2019 contained 21 positions; making a total of 52 positions according to the lists submitted. Example of staff positions in these lists included District Education Officer, Senior Education Assistant, Education Assistant, Senior Anesthetic Officer, District Health Officer, Assistant Nursing Officer, Enrolled Midwife amongst others. All the submissions were signed by CAO.</p> <p>The DSC sitting on various dates – 19th -21st, 2019, 20th May, 2019, 9th May, 2019, 9th April, 2019, 24th April, 2019, 27th March, 2019, 27th February, 2019, 6th February, 2019, 29th November, 2018, amongst others considered all staff for recruitment under a series minute numbers. Some of the minute extracts were; KLR/DSC/06/2019(i), for appointment on probation of Enrolled Midwife, KLR/DSC/06/2019(ii), of appointment by promotion to Senior Education Assistants, KLR/DSC/05/2019(i), for appointment on promotion of Senior Agricultural officer to Principal Agricultural Officer, KLR/DSC/04/2019(i), for appointment as Education Assistant, e.t.c. There was therefore sufficient evidence that the DSC considered all the 52 positions submitted for recruitment.</p>	<p>2</p>

<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> Evidence that 100 % of positions submitted for confirmation have been considered: score 1 	<p>There was evidence that 100% of the staff submitted to DSC by CAO vide various letters ref: CR 159/2 for confirmation were considered. For instance, submission dated 19th June, 2019 contained 1 position of a Porter, submission dated 21st September, 2018 contained 1 position of parish Chief, submission dated 11th September, 2018 contained 1 position of Probation and Welfare Officer, submission dated 28th November, 2018 contained 1 position of Enrolled Midwife, submission dated 7th January, 2019 contained 1 position of Education Assistant, submission dated 25th January, 2019 contained 1 position for the HR Officer; 12 submissions. In their 317th sitting of 19th-21 June, 2019, 24th April, 2019, 27th February, 2019, 1st October, 2018 and 30th November, 2018, among others, . The DSC considered all the 12 submitted staff names and confirmed them in their positions . For instance, the Porter was confirmed on 19th June, 2019 under Min No. KLR/DSC/06/2019(vii), Human Resources Officer, Education Assistant, Enrolled Wife were confirmed under Min. No.KLR/DSC/2(i)/2019, e.t.c</p>	<p>1</p>
<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> Evidence that 100 % of positions submitted for disciplinary actions have been considered: score 1 	<p>The LG met the condition of considering 100% of staff submitted for disciplinary actions . A total of 2 disciplinary cases were submitted for consideration as follows:</p> <p>Kakaire JamesWilly (Education Assistant (Grade III Teacher III/2002/3461)</p> <p>The DSC sitting held on 27th February, 2019 under Min. KLR/DSC//2(iv)/2009 decided that Kakaire James Willy be dismissed from service because he had absconded from duty.</p> <p>Ntono Proscovia (Enrolled Midwife appointed on January 2013 and confirmed on 11th December, 2013 under Min No. KLR/DSC/070(i)).</p> <p>The Rewards and Sanctions Committee under Min No. R&S Min 08/06/2018 recommended that Ntono Proscovia be deleted from the payroll and be forwarded to the DSC for notice of abandonment of duty as per minute extract 27th March 2019.</p>	<p>1</p>
<p>Staff recruited and retiring access the salary and pension payroll respectively within two months</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment: score 3 	<p>There was evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment. The District Service Commission (DSC) recruited 37 staff during the FY 2018/19 and all accessed the salary payroll within two months depending on the date of assumption of duty. For example:</p> <ol style="list-style-type: none"> On 20th May, 2019, Ms Kantono Susan was appointed on probation as Parish Chief of Bumanya Sub County with effect from 1st July, 2019 and accessed the salary payroll in September 2019. On 5th December, 2018, Nabe Eliot was appointed on probation as Education Assistant Gr. II under Min. No. 7/KLR/DSC/11/2018. On 2nd January, 2019, the CAO posted her to Buyonjo Primary School with effect from 1st January, 2019. She accessed the salary payroll in February 2019. On 5th December, 2019, Ms Babirye Damali was appointed on probation as Education Assistant Gr. II under Min. No. 7/KLR/DSC/11/2018. On 2nd January, 2019, the CAO posted her to Kirama Fellowship Primary School with effect from 1st January, 2019. She accessed the salary payroll in February 2019. On 4th July, 2018, Nsako James was appointed by the DSC on probation as Human Resource Officer under Min. No. 6/KLR/DSC/June(i)/2018. On 23rd July, 2018, the CAO posted him as Human Resource Officer with effect from 1st August, 2018. He accessed the salary payroll in September 2018 	<p>3</p>

<p>Staff recruited and retiring access the salary and pension payroll respectively within two months</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that 100% of the staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement: score 2 	<p>The LG did not meet the requirement that 100% of the staff that retired during the previous FY accessed the pension payroll not later than two months after retirement. Out of the twenty-two staff who retired, only eight accessed the pension payroll. For instance;</p> <ol style="list-style-type: none"> (1) Eriwala Peter Geoffrey who retired on 1st April, 2019 at the rank of Principal Technical Officer accessed the pension payroll in 25th May, 2019. (2) Lugojja Samuel who retired on 25th January, 2019 at the rank of Head teacher (Primary) accessed the pension payroll in 25th February, 2019. (3) Kisame Lawrence who retired on 3rd January, 2019 at the rank of Cook accessed the pension payroll in 28th January, 2019. (4) Kirundha Fredrick who retired on 27th May, 2019 at the rank of Senior Education Assistant accessed the pension payroll in June, 2019. (5) Mugaju Aggrey who retired on 27th May, 2019 at the rank of Senior Education Assistant accessed the pension payroll in June, 2019. (6) The rest of the fourteen retirees accessed the pension payroll more than two months after retiring. 	0
Revenue Mobilization			
<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • If increase in OSR (excluding one/off, e.g. sale of assets) from previous FY but one to previous FY is more than 10 %: score 4. • If the increase is from 5% - 10 %: score 2. • If the increase is less than 5 %: score 0. 	<p>Total of OSR for FY 2017/2018 Shs... 154,734,063 Page 20 of Audited Final Accounts FY 2017/2018</p> <p>Total of OSR for FY 2018/2019 Shs 170,922,128 Page 21 of Draft Final Accounts FY 2018/2019)</p> <p>Shs 170,922,128 (FY 2018/2019) minus 154,734,063 (FY 2017/2018) = Shs 16,188,065</p> <p>There was an increase of Shs 16,188,065 in FY 2018/2019</p> <p>$\frac{16,188,065}{154,734,063} \times 100 = 10.5\%$</p> <p>154,734,063</p> <p>There was a Percentage increase of 11% for Local revenue Collections.</p> <p>The Following are the factors that led to increase in Local revenue collections</p> <ul style="list-style-type: none"> • There was frequent monitoring of Local revenue collection entities i.e. Sub counties by the Treasury Team of the District. • There was enhanced Audit of Sub counties which are Charged with the task of Collecting Local revenue • There was a revenue Collection Tool that was introduced by Local Government Finance Commission which enhanced Local revenue data management 	4

<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<p>• If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realisation) is within +/- 10 %: then score 2. If more than +/- 10 %: Score 0.</p>	<p>Total Local Revenue Planned/Budgeted (Original not Revised Budget) for FY 2018/2019 Shs 100,075,322 (Page 2 of Approved Budget FY2018/2019.)</p> <p>Total Local Revenue collected during FY 2018/2019 Shs 170,922,128 (Page 21 of Draft Final Accounts FY 2018/2019)</p> <p>Budget realization was</p> $\frac{170,922,128}{100,075,322} \times 100 = 171\%$ <p>There was a Budget realization of 171% resulting into a surplus of 71% (i.e 171% minus 100%)</p> <p>The Surplus was greater than the requirement of 10</p> <p>Performance 171% was a Budget deficit of 71% ie (171% minus 100%)</p> <p>The Situation was due to unrealistic budgeting</p>	<p>0</p>
<p>Local revenue administration, allocation and transparency</p> <p>Maximum 4 points on this performance measure.</p>	<p>• Evidence that the District/Municipality has remitted the mandatory LLG share of local revenues: score 2</p>	<p>Local Revenue collections subjected to sharing with LLGs Shs 162,977,878</p> <p>Page 21 of Draft Final Accounts 2018/2019</p> <p>Amount of local revenue remitted to LLGs Shs 19,382,850 as per the following verified Payment Vouchers;</p> <ul style="list-style-type: none"> • Voucher No. 51/11 dated 12th November, 2018 of UGX 841,750 went to Budomero sub County • Voucher No. 53/11 dated 3,350,500 was remitted to Kaliro T/C • UGX 1,065,000 on voucher No. 52/11 was remitted to Bukumba T/C • UGX 1,041,625 was submitted to Gadumire T/C per Voucher No.54/11 • UGX 3,350,500 on voucher No. dated 53/11 was remitted to Kaliro T/C • UGX 1,192,750 on voucher No.56/11 was remitted to Kisinda sub County • UGX 734,500 on voucher no.75/11 dated 12th November, 2018 was remitted Buyinda sub county <p>A total of UGX 19,382,650 was remitted to LLGs per the guidelines.</p> <p>Percentage of Local revenue remitted to LLGS = $\frac{19,382,650}{162,977,878} \times 100 = 11.9$</p> <p>The LG remitted only 12% of local revenue to LLGS against the requirement of 65% Contrary to Section 85 (4) of Local Governments Act CAP 243.</p>	<p>0</p>

<p>Local revenue administration, allocation and transparency</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the total Council expenditures on allowances and emoluments- (including from all sources) is not higher than 20% of the OSR collected in the previous FY: score 2 	<p>Total expenditure on council allowances and emoluments during FY 2018/2019Shs 21,273,000 as the following verified payment voucher;</p> <ul style="list-style-type: none"> On 3rd February, 2019 UGX 4,308,000 paid to Clerk to Council (CC) being for Council sitting allowances on voucher No. 33/2 Council sitting allowance of UGX 5,695,000 paid on Voucher No. 17/11 dated 13th November, 2018 Planning and Administration Committee meeting sitting allowances of UGX 1,090,000 paid on voucher No. 18/11 dated 6th November, 2018 <p>A total of UGX 41,273,000 was spent on Council emoluments in FY 2018/19.</p> <p>Percentage of expenditure on Council allowances in relation to local revenue Collected in 2017/2018 was $\frac{41,273,000}{154,734,063} \times 100 = 26.7\%$</p> <p>Percentage of expenditure on Council allowances was 26,7 % and it was higher than 20% of Local revenue collected in FY 2017/018 The LG therefore was not Complied with regulation 4to the First Schedule of the Local Governments ACT CAP 243.</p>	0
Procurement and contract management			
<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the District has the position of a Senior Procurement Officer and Procurement Officer (if Municipal: Procurement Officer and Assistant Procurement Officer) substantively filled: score 2 	<p>The LG had two positions of Procurement Officer, according to staff structure approved by P.S, Ministry of Public Service vide letter ref: dated 2nd June, 2017 vide letter ref: ARC 135/306/01</p> <p>The two approved positions were a Senior Procurement Officer and a Procurement Officer. The two positions were filled as follows;</p> <ul style="list-style-type: none"> Hamoome Nimrod was appointed Senior Procurement Officer on promotion as directed by DSC under Min.No. KRL/DSC/90/2016 (i) vide letter Ref: CR 156/2 and dated 24th March, 2019 The Procurement Officer- Ataliba Shabira was appointed substantively on 20th July, 2016 as directed by the DSC under Min. No. KLR/DSC/94/2016(v) vide letter ref: CR 156/1 	2
<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the TEC produced and submitted reports to the Contracts Committee for the previous FY: score 1 	<p>Reports of the Evaluation Committee were submitted to the Contracts Committee during FY 2018/2019 on the following dates:</p> <ul style="list-style-type: none"> For the upgrade of Budomero and Nawampiti HCIIIs to HCIIIs Lot-17 Ref: MoH-UgIFT/Wrks/2018-19/0001-17, EC prepared and submitted report on 2nd December, 2018 to the contracts committee with a recommendation to award the contract to the best evaluated bidder- M/S Green Heat (U) Ltd under open domestic bidding at a contract sum of UGX 1,029,421,148 VAT inclusive; Renovation of Nawansololo sub County headquarters, under Procurement Ref. No. KALI561/WRKS/18-19/00064 by selective bidding, EC sitting on 15th March, 2019submitted a report recommending M/S Bandana Eng.Ltd to the Contracts Committee as the best evaluated bidder to be awarded the contract at a sum of UGX 43,432,000including 6%WHT and 18% VAT. For the partial renovation of DHO's office Procu. Ref: KALI561/Wrks/18-19/00056 EC sitting on 10th October, 2018 recommended M/S Bandana Eng.Ltd as the best evaluated bidder to be awarded the contract at a project sum of UGX12,495,000 inclusive of 6% WHT and 18% VAT. 	1

<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the Contracts Committee considered recommendations of the TEC and provide justifications for any deviations from those recommendations: score 1 	<p>The Contracts Committee considered recommendations of the EC and provided justifications for any deviations from those recommendations. For instance;</p> <ul style="list-style-type: none"> • For the partial renovation of DHO's office, the Contracts Committee sitting on 12th October, 2018 under Min:20(7) PC/2018-2019 upheld the recommendation of the EC and approved the award of the contract to M/S Bandana Eng. Ltd contract sum of UGX12,495,000 without variation. • Renovation of Nawansololo sub County headquarters, under Procurement Ref. No. KALI561/WRKS/18-19/00064, the CC sitting on 4th April, 2019 under Min. 66(30DCC/2018-2019 upheld the recommendation of the EC and approved the award of the contract to M/S Bandana Engineering Ltd without variations. • For the construction of 2 classroom block with Office and store at Bugoda P/S, Ref: Kali561/wrks/2018-19/00006, the contract committee sitting on 25/09/2018, under min:12/PC/2018-19, approved the EC submission and awarded the contract to Masubo Gen Ent Ltd following the loss of interest by M/S Mercy Uganda Ltd the earlier on best evaluated bidder in its letter dated 18/07/2018 to the CAO. • For the supply of Culverts to Kaliro District, the CC sitting on 17th June,2018, under Min: 06(b)/PC/2018- 2019 upheld the decision of the EC and awarded the contract to M/S MERCY UGANDA LTD without variations • For the drilling, casting and installation of 16 deep boreholes in the District, CC sitting on 25th January, 2019 upheld the recommendations of the EC and approved the award of the contract to M/S Maa Technologies (U) Ltd without variations.
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<p>The LG has a comprehensive Procurement and Disposal Plan covering infrastructure activities in the approved AWP and is followed.</p> <p>Maximum 2 points on this performance measure.</p>	<ul style="list-style-type: none"> • a) Evidence that the procurement and Disposal Plan for the current year covers all infrastructure projects in the approved annual work plan and budget and b) evidence that the LG has made procurements in previous FY as per plan (adherence to the procurement plan) for the previous FY: score 2 	<p>The PDP for the current FY covered all infrastructure projects in the approved annual work plan and budget for FY 2019/20. For Instance;</p> <ul style="list-style-type: none"> • Drilling of 17 boreholes in the District at a contract sum of UGX 343,400,00 under open domestic bidding appeared on page 1 of the PDP and on pages 46 and 48 of the AWP FY 2019/20 • Construction of a 4 -stance pit latrine at District HQTRS at a contract sum of UGX 17,000,000 under open domestic bidding appeared on 2 of the PDP and on page 8 of the AWP FY 2019/20 • Construction of latrines at Nangola P/S at a contract sum of UGX 18,000,000 under open bidding appearing on page 8 of the PDP and on page 32 of the AWP for FY 2019/20 • Construction of a plant clinic at Production office at a contract sum of UGX34,628,000 under open domestic bidding was on page 7 of the PDP and page 20 of the AWP FY 2019/20 • Construction of pit latrine at Budini C/U P/S at a contract sum of UGX 18,000,000 under open domestic bidding appearing on page 9 of the PDP and page 33 of the AWP. • Connection of electricity to Natural Resources Department at a contract sum of UGX 1,100,000 under open domestic bidding appears on page 3 of the PDP but does not appear in the AWP. • Renovation of OPD structure at Namwiwa HC III at a contract sum OF UGX10,000,000 under selective bidding appears on page 6 of the PDP and page 25 Of the AWP. <p>Likewise, LG made procurements in previous FY as per plan for FY 2018/19. For instance;</p> <ul style="list-style-type: none"> • Drilling, pump testing casting and installation of 16 boreholes awarded to Maa Technologies (U) Ltd at a contract sum of UGX 320,000,000 was on page 1 of the PDP FY 2018/19; • Construction of a 2-classroom block with office and store at Bugoda P/S in Bugoda Parish in Namugongo S/C awarded to awarded to Masubo Gen. Ent Ltd at a contract sum of UGX 60,000,000 appears on page 1 of the PDP; • Completion fencing of production department awarded to Waiswa Consult Ltd at a contract sum of UGX 6,000,000 appeared on page 1 of PDP FY 2018/19; • Construction of a 5-stance pit latrine at Isalo P/S awarded to Masubo General Enterprises Ltd at a contract sum of UGX18,000,000 was on page 2 of the PDP FY 2018/19 	2
<p>The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • For current FY, evidence that the LG has prepared 80% of the bid documents for all investment/infrastructure by August 30: score 2 	<p>By 30th August, 2019, the PDU had not prepared all the bid documents for the open domestic bidding projects that were advertised under Bid Notice No. 1 FY 2019-2020 published in the Daily Monitor Newspaper of 18th June, 2019. Five infrastructure projects were to be implemented in the FY 2019/20 of which 3 bid documents – drilling of boreholes lot 1 and 2- and construction of pit latrines had been prepared by 30thAugust, 2019, giving a percentage of 60% of bid documents prepared. Bid document were prepared on 18th June, 2019.</p>	0

<p>The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • For Previous FY, evidence that the LG has an updated contract register and has complete procurement activity files for all procurements: score 2 	<p>The LG had an updated contract register with complete procurement activity files for all the procurements in the FY 2018/19. There was a total of 61 procurement files in the register. For instance;</p> <ul style="list-style-type: none"> • Drilling, pump testing, casting and installation of 16 boreholes in various sites in the district at by M/s Maa Technologies Ltd at a contract sum of UGX 323,343,000 awarded on 2th June, 2019 had a status of complete. It appeared on page 1 of the contracts register. • Construction of a 2-classroom block with office and store at Budini C/U P/S by Bandana Engineering Ltd at a sum of UGX 59,966,974 was on page 2 of the register was indicated as procurement cancelled; • Construction of a 5-stance lined pit latrine at Buluya parents' P/S by Sula Nawampiti Investments Ltd on page 3 of the procurement register was indicated as procurement cancelled • Upgrade of Budomero and Nawampiti HCII to HCIII by Green Heat (U) Ltd at UGX 953,011,154 on page 7 of the register was indicated as works ongoing; • Supply of 3 motorcycles to production department by Nile Fishing Ltd at UGX 39,000,000 was appearing on page 7 of the register. The remark – supply was made. <p>The register had a total of 61 procurement implemented and/or in progress or cancelled items. The register had the following; procurement ref: No., description of procurement, location, contractor/provider, amount, date of award and remark.</p> <p>There was also an updated contract register for FY 2019/20 and it had 42 investment items including;</p> <ul style="list-style-type: none"> • Drilling, pump testing, casting and installation of 9 boreholes in the district by Sharda Drilling (U) Ltd at a contract sum of UGX 180,284,000. Status of project was on-going. • Construction of a 5-stance lined pit latrine at Gadumire P/S by Waiswa Consult (U) Ltd at a contract sum of UGX 17,994,410 with a status of works on-going. 	<p>2</p>
<p>The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • For previous FY, evidence that the LG has adhered with procurement thresholds (sample 5 projects): score 2. 	<p>All the procurements undertaken during the FY under review complied with Regulation 33(3) of the LGs (Public Procurement and Disposal of Public Assets) Regulations 2006 Thresholds for procurement of Works (1a and 1b), thresholds for procurement of supplies and services (2a and 2b), micro procurement (3) and community purchase (4). The LG used two procurement methods; Open Domestic and Restrictive/Selective bidding. For Instance;</p> <ul style="list-style-type: none"> • Drilling, casting, pump testing and installation of 16 boreholes in the district (Proc Ref: KALI561/Wrks/19-19/00001 at UGX 313,343, 000,000 with DDEG funding was tendered to Maa technologies Ltd under open domestic bidding method and was advertised in New Vision newspaper on 31st May, 2018. • Renovation of Nawansololo sub County headquarters, under Procurement Ref. No. KALI561/WRKS/18-19/00064 was tendered to M/s Bandana Engineering Ltd under selective bidding method at contract at a sum of UGX 43,432,000. It was advertised in New Vision Newspaper of 31st May, 2019 • For the partial renovation of DHO's office Procu. Ref: KALI561/Wrks/18-19/00056 was awarded to M/s Bandana Eng.Ltd at a project sum of UGX12,495,000 under selective bidding. Tender was advertised in New Vision newspaper of 31st May, 2019 • Supply of 3 motorcycles to production department Procu: Ref: No. KALI561/Supls/2018-19/00062 tendered to Nile Fishing Ltd at UGX 39,000,000 by open domestic bidding and was advertised in New Vision newspaper on 25th February, 2019 • Construction of a 5- stance pit latrine at Buyonjo P/S Procurement ref: No. Kali561/wrks/18-19/00018, awarded to Waiswa Consult Ltd under selective bidding at a contract sum of UGX 17,988,096 	<p>2</p>

<p>The LG has certified and provided detailed project information on all investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all works projects implemented in the previous FY were appropriately certified – interim and completion certificates for all projects based on technical supervision: score 2 	<p>The LG appropriately certified all projects based on technical supervision during the FY 2018/19. For instance;</p> <ul style="list-style-type: none"> • The construction of a placenta pit at Buyinda HCII by Sula Nawampiti Investment Ltd at a contract sum of UGX 6,998,000. An interim certificate no.1 dated 17th December, 2018 was issued by the district Engineer • Construction of a 5-stance lined pit latrine at Kasokwe P/S by Mercy (U) Ltd. Payment certificate for amount due UGX 15,215,933 was issued on 16th November, 2018 and was signed by the district Engineer; • Construction of energy saving stoves in 3-institutions at contract sum of UGX 5,225,000. Payment certificate was issued on 11th April, 2019 and was signed by the district Engineer; • Construction of a 2-classroom block, office and store at Bugoda P/S at a contract sum of UGX 59,990,000. Payment certificate No.2 dated 27th February, 2019 was issued and signed by the district Engineer • Renovation of Nansololo sub-county headquarters by Bandana Engineering Ltd at UGX 31,200,000. Payment certificate No.2 dated 16th October, 2018 was issued and signed by the district Engineer. • Sitting, drilling supervision of 16 boreholes by ViRMAR Technical Investments Ltd, a payment certificate dated 17th September, 2018 was issued and signed by the district Engineer. 	<p>2</p>
<p>The LG has certified and provided detailed project information on all investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all works projects for the current FY are clearly labelled (site boards) indicating: the name of the project, contract value, the contractor; source of funding and expected duration: score 2 	<p>Not all works projects for started in FY 2019/20 was labelled per the guidelines at the time of assessment. However, Upgrade of Nawampiti HCII to HCIII was clearly labelled as follows;</p> <p>Project: Upgrade of Nawampiti HCII to HCIII</p> <p>Client: Kaliro District LG</p> <p>Funder: GOU</p> <p>Supervisor: Kaliro District Engineer</p> <p>Contractor: Green Health (U) Limited</p> <p>The duration was blackened out. The construction site appeared to have been abandoned by the Contractor at the time the assessors visited.</p>	<p>0</p>
<p>Financial management</p>			

<p>The LG makes monthly and up to-date bank reconciliations</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the LG makes monthly bank reconciliations and are up to-date at the time of the assessment: score 4 	<p>There was documentary evidence that the LG while still on a Manual Accounting System had carried out Bank reconciliations to the end of FY2018/2019 as at 30th June 2019. Similarly, the LG which started using IFMS as from July 2019 carried out Bank reconciliation up to date as at 30th September 2019 under Treasury Single Accountant (TSA) Number 005610528000000 as below;</p> <ul style="list-style-type: none"> • Administration A/C number 9020013743737 at Stanbic Bank Iganga Branch; • Primary Health care A/C No. 01983501007351 at DFCU; • Education A/C No. 9030013743761; • Natural Resources A/C No. 3100030684 at Centenary bank Iganga Branch; • Production A/C No. 019888888350107350; • Community based A/C No. 903005642050 at Stanbic Bank Iganga Branch; • Uganda Women Entrepreneurship Project A/C No. 903001920224 at Stanbic Bank Iganga Branch; • Water A/C No. Bank Iganga Branch at Stanbic; • DDEG A/C No. 0198350100492/7 at DFCU Bank Iganga Branch 	<p>4</p>
<p>The LG made timely payment of suppliers during the previous FY</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> • If the LG makes timely payment of suppliers during the previous FY – no overdue bills (e.g. procurement bills) of over 2 months: score 2. 	<p>The LG made timely payment of suppliers during the previous FY as per following sampled vouchers</p> <p>Voucher No. 9/13 dated 30th November 2018 to Great Lakes Ltd for the supply of Fuel for monitoring closure of Schools. LPO dated 30th November 2018 was signed by DEO on 30th November, 2018. Payment effected within one day</p> <p>Voucher No. 6/12 dated 15,145,400 to Pagoda Stores Ltd. Contract dated 15th of October 2018. Purpose was the construction of 5-Stance Pit latrine at Nsamule Primary School in Naiwaikoke Sub county at UGX 15,143,400. Certification done by the District Engineer on 27th November, 2018. Requisition for payment made on 26th November 2018. District Education Officer Forwarded the requisition by signing on it on 26th December 2018 Payment effected on 28th December 2018 within a period of 2days</p> <p>Voucher No. 4/12 dated 18th December 2018 to Sula Nawampiti Investments of Shs 15,215,932 for construction of 5-stance Pit latrine at Kisinde Primary School in Kisinde Sub county. Contract dated 2nd day of November 2018. Payment Certificate No. 2 Issued by the District Engineer on 17th December, 2018. District Education Officer Signed on the Certificate on 17th December 2018. Request for payment made on 17th December 2018. DEO endorsed the request for payment on 18th December 2018.</p>	<p>2</p>
<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the LG has a substantive Senior Internal Auditor: 1 point. • LG has produced all quarterly internal audit reports for the previous FY: score 2. 	<p>The LG had a Substantive Principal Internal Auditor by the names of Godfrey Mutome as per his Appointment Letter dated 15th September 2016 Ref CR/156/1 under Minute Number KLR/DSC/97/2916 (v)</p>	<p>1</p>

<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<p>• LG has produced all quarterly internal audit reports for the previous FY: score 2.</p>	<p>The LG had produced all quarterly internal Reports for FY 2018/2019 as indicated below</p> <table border="1" data-bbox="528 208 1059 450"> <thead> <tr> <th>Quarter</th> <th>Date of report</th> <th>Reference</th> </tr> </thead> <tbody> <tr> <td>Quarter 1</td> <td>10th Dec,2018</td> <td>AUD216/02</td> </tr> <tr> <td>Quarter 2</td> <td>19th March 2019</td> <td>AUD216/02</td> </tr> <tr> <td>Quarter 3</td> <td>25th June 2019</td> <td>AUD216/02</td> </tr> <tr> <td>Quarter 4</td> <td>19th Sept 2019</td> <td>AUD216/02</td> </tr> </tbody> </table>	Quarter	Date of report	Reference	Quarter 1	10th Dec,2018	AUD216/02	Quarter 2	19th March 2019	AUD216/02	Quarter 3	25th June 2019	AUD216/02	Quarter 4	19th Sept 2019	AUD216/02	<p>2</p>
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Quarter 3	25th June 2019	AUD216/02																
Quarter 4	19th Sept 2019	AUD216/02																
<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<p>Evidence that the LG has provided information to the Council and LG PAC on the status of implementation of internal audit findings for the previous financial year i.e. follow up on audit queries from all quarterly audit reports: score 2.</p>	<p>There was documentary evidence by way of report dated 30th May 2019 that the LG had provided information to the Council and LG PAC on the status of implementation of internal audit findings for all Quarter for FY 2018/2019.</p> <p>The nature and total number of queries raised were 72. At the time of assessment, 65 queries had been cleared and 7 were still pending clearance.</p> <p>The following were the queries</p> <p>First Quarter</p> <p>Finance Department</p> <ul style="list-style-type: none"> • The payables totaling Shs 23,413,532 • Under collection of local revenue by shs 20m • Lack of accountability for Shs 25,347,400 • Poor and Incomplete posting of Vote Books • None stamping of payment voucher with "Paid" as required by by Financial regulations • Unremitted Statutory deductions to URA totaling Shs 1,842,000 <p>Administration Department</p> <ul style="list-style-type: none"> • Delayed implementation of planned activities • Poor Assets Management • Lack of Accountability for Shs 49,528,500 • Missing Vouchers <p>Planning Department</p> <ul style="list-style-type: none"> • Missing Vouchers • Lack of Accountability for Shs 2,120,000 <p>Community Based Department</p> <ul style="list-style-type: none"> • Lack of Accountability for Shs 652,000 <p>WORKS DEPARTMENT</p> <ul style="list-style-type: none"> • Lack of Accountability for Shs 22,592,300 <p>PRODUCTION DEPARTMENT</p> <ul style="list-style-type: none"> • Lack of Accountability for Shs 86,593,560 <p>WATER SECTOR</p> <ul style="list-style-type: none"> • Lack of Accountability for Shs 35,506,400 	<p>2</p>															

- Over Casting of Water Account by Shs 250,000

EDUCATION SECTOR

- Lack of Accountability for Shs 24,020,000

Delayed implementation of planned Works

SECOND QUATER

FINANCE DEPARTMENT

- Maintenance of stale cheques
- Under Collection of cocal revenue of Shs 2,106,500
- Lack of accountability for Shs 41,122,800
- Poor Posting of Vote Books and Abstracts
- Unremitting statutory deductions to URA totaling Shs 1,449,900

Administration Department

- Poor Assets movement
- Lack of Accountability for Shs 67,490,000
- Missing Vouchers for Shs 3,500,000

THIRD QUATER

FINANCE DEPARTMENT

- Low Local Revenue Collections of Shs 1,502,500
- Poor Posting of Vote Books and Abstracts
- Payment Vouchers not Stamped with a mark indicating that they were paid
- Non remittance of Statutory deductions to URA of Shs 9,741,549
- Lack of Accountability for Shs 11,122,000

ADMINISTRATION DEPARTMENT

- Missing Vouchers for Shs 1,786,250
- Poor Assets Management
- Lack of Accountability for Shs 47,522,200

PLANNING DEPARTMENT

- Delayed implementation of planned Activities
- Lack of Accountability for Shs 30,397,600

COMMUNITY BASED DEPARTMENT

- Lack of Accountability for Shs 200,000

FOURTH QUATER

ADMINISTRATION DEPARTMENT

- Poor Assets Management
- Lack of Accountability for Shs 21,200,00
- Missing Vouchers for Shs 1,500,000

FINANCE DEPARTMENT

- Low Local revenue Collections of Shs 2,253,250
- Poor posting of Vote Books and Abstracts

		<ul style="list-style-type: none"> • Untimely remittance of URA Funs of Shs 912,548 <p>HEALTH SECTOR</p> <ul style="list-style-type: none"> • Missing Vouchers for Shs 5,311,500 • Delayed implementation of Planned Activities • Lack of Accountability for Shs 522,819,894 <p>PLANNING DEPARTMENT</p> <ul style="list-style-type: none"> • Lack of Accountability for Shs 11,850,000 <p>EDUCATION SECTOR</p> <ul style="list-style-type: none"> • Lack of Accountability for Shs 27,187,912 <p>Quarter Four</p> <p>Missing Vouchers worth Shs 5,311,510</p> <p>Delays in implementation of planned Activities</p> <p>Lack of Accountability for Shs 522,819,894</p>																
<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and LG PAC has reviewed them and followed-up: score 1. 	<p>There was documentary evidence by way of date stamps that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC However LG PAC had reviewed only the 1st and 2nd Quarterly Internal Audit reports against a requirement of all four quarterly reports.</p> <p>For FY2018/2019.</p> <table border="1"> <thead> <tr> <th>Quarter</th> <th>Date of submission</th> <th>Reference for report review</th> </tr> </thead> <tbody> <tr> <td>Quarter 1</td> <td>14th Dec 2018</td> <td>AUD216/01</td> </tr> <tr> <td>Quarter 2</td> <td>20th March 2019</td> <td>AUD216/01</td> </tr> <tr> <td>Quarter 3</td> <td>23rd June 2019</td> <td>AUD216/01</td> </tr> <tr> <td>Quarter 4</td> <td>10th Sept 2019</td> <td>AUD216/01</td> </tr> </tbody> </table>	Quarter	Date of submission	Reference for report review	Quarter 1	14th Dec 2018	AUD216/01	Quarter 2	20th March 2019	AUD216/01	Quarter 3	23rd June 2019	AUD216/01	Quarter 4	10th Sept 2019	AUD216/01	0
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<p>The LG maintains a detailed and updated assets register Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the LG maintains an up- dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual: score 4 	<p>1 out of 3 Assets Registers that were maintained by the LG conformed to the Prescribed Formats outlined on Pages 167to 168 in Local Governments Financial and Accounting Manual 2007 The Assets Register that conformed to the required Format was for Finance Department while the other two belonged to Water and Roads Sectors The Assets register for Finance was General in nature as it had the records of Chairs Tables Filing Cabinets and Cash Safe. and Computers</p> <p>The Assets Registers for Water and Roads Sectors Contained records of Motor Vehicles and Heavy plants. The Staff were mentored and Coached on the dynamics of compiling the required 3categories of Assets Registers outlined in Local Governments Financial and Accounting Manual 2007</p>	0
<p>The LG has obtained an unqualified or qualified Audit opinion Maximum 4 points on this performance measure</p>	<p>Quality of Annual financial statement from previous FY:</p> <ul style="list-style-type: none"> • Unqualified audit opinion: score 4 • Qualified: score 2 • Adverse/disclaimer: score 0 	<p>The Auditor General's Opinion for the financial statements of the LG for the year ended 30th June, 2019 was unqualified.</p>	4
<p>Governance, oversight, transparency and accountability</p>			
<p>The LG Council meets and discusses service delivery related issues Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the Council meets and discusses service delivery related issues including TPC reports, monitoring reports, performance assessment results and LG PAC reports for last FY: score 2 	<p>Kaliro District LG Council met and discussed service delivery related issues including TPC reports, monitoring reports, performance assessment results and LG PAC reports for last FY.</p> <p>The LG Council met in 4 Quarters namely; QRT1 QRT 2 and QTR 4 to discuss service delivery related issues as provided in the minutes below:</p> <p>Quarter 1: Meeting held on 18thSeptember, 2018 discussed under Minute no 18/KDLC/SEPT/2018-19 the following issues: PAC Report, Creation of new Zones and Roads to bring services nearer to the people</p> <p>Quarter 2: Meeting held on 20th December, 2018 discussed under Minute no 31/KDLC/DEC/2018-19 the following issues: Standing Committee reports on Works, Production and Natural Resources, Health, Education and Community Affairs Quarter 3: The District Council did not meet in this Quarter. According to the District Planner (Mr. Tabalya Charles), the MoFPED withheld the money including sitting allowances for the Councillors</p> <p>Quarter 4: Meeting held on 31st May, 2019 and discussed under Minute no 64/KDL/MAY/2018-19 the following: Laying and Approval of Budget</p>	2
<p>The LG has responded to the feedback/ complaints provided by citizens Maximum 2 points on this Performance Measure</p>	<ul style="list-style-type: none"> • Evidence that LG has designated a person to coordinate response to feed-back (grievance/complaints) and responded to feedback and complaints: score 1. 	<p>The LG had designated Ms. Saade Ahamed (District Communications Officer) to coordinate response to feed-back (grievance /complaints) and respond to feedback and complaints. She was appointed by the CAO on 8th June, 2018 under reference number: CR/156/4. There was no feedback given as the designated person claimed that she had not received any complaints during the FY being assessed</p>	1

<p>The LG has responded to the feedback/complaints provided by citizens</p> <p>Maximum 2 points on this Performance Measure</p>	<ul style="list-style-type: none"> The LG has specified a system for recording, investigating and responding to grievances, which should be displayed at LG offices and made publically available: score 1 	<p>The LG had a specified a system for recording, investigating and responding to grievances, which was displayed at LG offices and made publically available. A memo dated 8th June, 2018, was displayed the notice board specifying the procedures for recording complaints. They included; the District Communication desk, suggestion box and telephone contact (07741551**)</p>	<p>1</p>
<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<p>Evidence that the LG has published:</p> <ul style="list-style-type: none"> The LG Payroll and Pensioner Schedule on public notice boards and other means: score 2 	<p>Kaliro LG displayed the Payroll and Pensioner Schedule on public notice board for the month of October, 2019. It was displayed on 15th October,2019 The Assessment Team Sampled details of one and employee and one Pensioner as presented below:</p> <p>Institution ID : TERT5610150</p> <p>Institution Description: KALIRO T.I</p> <p>Pay Code: Decentralized Tertiary Employees</p> <p>Employee Number:14311</p> <p>Employee Name: Betty Mudondo</p> <p>The pensioners schedule was also on display on the notice board in the District Headquarters. Sample of a Pensioner Institution ID : 561 Institution Description: KALIRO DISTRICT</p> <p>Pay Code: Pensioners - (Teachers) – PENTCH</p> <p>Employee Number: 00000000519319</p> <p>Employee Name: Patrick Kintu</p>	<p>2</p>
<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<ul style="list-style-type: none"> Evidence that the procurement plan and awarded contracts and amounts are published: score 1. 	<p>Kaliro District displayed and published the procurement plan, awarded contracts and amounts for the FY 2018/2019 on the District Head quarter's public notice board. For example;</p> <p>Procurement reference Number: KAL561/WRKS/2019-2020/00002</p> <p>Subject of Procurement: Drilling, Pump testing, Casting and Installation of 08 Boreholes in the District (Lot 02)</p> <p>Method of procurement: Open bidding</p> <p>Name of best Evaluated bidder; MULTEC CONSULT (U) LTD</p> <p>Total Contract price: 160,172,138</p> <p>Date of display: 2nd August, 2019</p> <p>Date of removal 15th August, 2019</p>	<p>1</p>

<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<ul style="list-style-type: none"> Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year (from budget requirements): score 1. 	<p>The previous performance results were displayed on the public notice board at the District headquarters on 31st July, 2019. The performance of the LG in the previous assessment was as follows;</p> <p>Local Government Performance Assessment</p> <p>Kaliro District (Vote Code: 561)</p> <p>Assessment</p> <p>Scores</p> <table border="0"> <tr> <td>Accountability Requirements</td> <td>33%</td> </tr> <tr> <td>Crosscutting Performance Measures</td> <td>56%</td> </tr> <tr> <td>Educational Performance Measures</td> <td>59%</td> </tr> <tr> <td>Health Performance Measures</td> <td>80%</td> </tr> <tr> <td>Water Performance Measures</td> <td>91%</td> </tr> </table>	Accountability Requirements	33%	Crosscutting Performance Measures	56%	Educational Performance Measures	59%	Health Performance Measures	80%	Water Performance Measures	91%	1
Accountability Requirements	33%												
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<p>The LGs communicates guidelines, circulars and policies to LLGs to provide feedback to the citizens</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that the HLG have communicated and explained guidelines, circulars and policies issued by the national level to LLGs during previous FY: score 1 	<p>The District communicated and explained guidelines, circulars and policies issued by the national level to LLGs during previous FY. Examples included:</p> <p>Circular on Measles and Rubella outbreak which was dated 6th June, 2019, was disseminated to all Health Centres and Sub-Counties on 30th September,2019</p> <p>Uganda National Health Laboratory Services strategic plan for 2016/20 was also disseminated to LLG in a meeting held on 26th November,2019 and a report dated 27th November,2016 was prepared and circulated to the various stakeholders</p>	1										
<p>The LGs communicates guidelines, circulars and policies to LLGs to provide feedback to the citizens</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation: score 1. 	<p>The LG conducted discussions with the public to provide feed-back on status of the implementation of activities. For instance, the District Resident Commissioner (Janet Billy Mulindwa) organized a Bazaar which was held on 31st January, 2019 in Mumwiwa Primary School.</p> <p>Among issues discussed included; Routine road maintenance, drilling of boreholes, Youth Leadership Program among others.</p> <p>The report on the proceedings, was written on 1st February, 2019 by Saade Ahamed (District Communications Officer)</p>	1										
Social and environmental safeguards													

<p>The LG has mainstreamed gender into their activities and planned activities to strengthen women's roles</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the LG gender focal person and CDO have provided guidance and support to sector departments to mainstream gender, vulnerability and inclusion into their activities score 2. 	<p>In another training that took place on 7/3/2019, the D/CAO and Ag. DCDO sensitized sector heads and HODs in the health board room with the aim of strengthening the ability of the district technical staff to apply gender analysis skills and tools in plans, budgets and policies and to enhance the capacity of HODs and sectors gender concepts as applicable to their fields of work. This report is dated 11/3/2019.</p>	<p>2</p>
<p>The LG has mainstreamed gender into their activities and planned activities to strengthen women's roles</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the gender focal point and CDO have planned for minimum 2 activities for current FY to strengthen women's roles and address vulnerability and social inclusions and that more than 90 % of previous year's budget for gender activities/ vulnerability/ social inclusion has been implemented: score 2. 	<p>There is evidence that the Gender focal point person (GFPP) planned a minimum of two activities in the FY 2019/20. The activities include;</p> <ul style="list-style-type: none"> Quarterly district GBV coordination committee meetings Gender mainstreaming and skills development training/equity issues for sector heads. <p>These activities were on page 3 of the community development department AWP FY 2019/2020.</p> <p>From the AWP of the community department on page 39/40, a total sum of UGX 2,802,224 was budgeted for various activities.</p> <p>The following vouchers were seen</p> <ul style="list-style-type: none"> GBV meeting dated 14/06/2019 from Chq. 05641 drawn on 6/6/2019 worth UGX 320,000 Training of women funds requisitioned by Ag. DCDO, drawn from Chq. 05621 worth UGX 750,000 GBV meeting held on 20/11/2019, with a sum total of UGX 313,056 Data collection on GBV costing UGX 150,000 on chq. O5641 dated 6/6/2019 Data collection on GBV costing UGX 250,000 on chq. 05597 dated 13/11/2018 Training women council representatives costing UGX 710,000 drawn on chq. 05641 on 6/6/2019. UGX 288,000 spent to identify women groups for empowerment on chq. 056600 dated 15/11/2018 <p>Thus, giving a total of UGX 2,781,056 which make a percentage of 99.2% of the previous year's budget for gender activities/vulnerabilities/social inclusion was implemented.</p>	<p>2</p>

<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that environmental screening or EIA where appropriate, are carried out for activities, projects and plans and mitigation measures are planned and budgeted for: score 1 	<p>Screening reports and ESMP reports were seen for the drilling, pump testing and installation of 16 boreholes in the district, ref: Kali561/wrks/2018-19/00001 dated 6/8/2018 by the SEO, Diogo Paul were seen. The reports were signed by the SEO DCDO. The boreholes were drilled in Bulago B, Namuzigo, Bulumu-Budehe C/U and others.</p> <p>There were no screening forms for the other following projects that were sampled.</p> <p>Construction of a 2 classroom blocks with office and store at Bugoda P/S, ref: KALI 561/WRKS/2018-2019/00006.</p> <p>Construction of a 5-stance lined pit latrine at Buyonjo P/S, Ref: KALI561/WRKS/2018-2019/000018.</p> <p>Construction of a 5-stance lined pit latrine at Bumanya P/S, Ref: KALI561/WRKS/2018-2019/00028.</p> <p>Renovation of office blocks for Nawaikoke T/C, Ref: KALI561/WRKS/2018-2019/00062.</p> <p>The SEO, Diogo Paul stated that he lacks the funds to facilitate him to do the screening and where appropriate EIA for the projects contracted by the LG except for those contracted by Central government where funds were provided for his facilitation to do screening and EIA.</p>	0
<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG integrates environmental and social management and health and safety plans in the contract bid documents: score 1 	<p>There was no evidence that the local government integrates environmental and social management and safety plans in all the contract bid documents. From all the contract bid documents of the above-mentioned projects,</p> <p>There was environmental integration for the drilling of boreholes in the district under item 1.5 of BOQ stating the provisional sum of environmental considerations including reinstating the site to its original form as before drilling and planting grass around the water source and a minimum of 5 trees costing UGX300,000. The same document under item 2.2 of the BOQ provides UGX 2,000,000 for clearing site including removal of excavated material and reinstating site to its original sate. However, for the other sampled bid documents, there was no provision for ESMP integrated in any part of their bid documents.</p>	0
<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that all projects are implemented on land where the LG has proof of ownership (e.g. a land title, agreement etc.): score 1 	<p>The Natural Resources officer provided land agreements for projects of drilling boreholes where the owners land signed the agreements giving away land for the drilling and installation of boreholes in the different communities. For instance:</p> <p>Mr. Kalimwigi Fred of Butaga LC1, Busulumba parish, Kisinda S/C, gave land on 26/4/2019 for drilling a borehole by signing an agreement. This was witnessed by Sook Paul the C/P LC1 and Wabugira Emmanuel represented the water user committee and Buyinza Eliot the CDO signed for Kaliro DLG.</p> <p>There were many other land agreements for land where boreholes were drilled. However, there was no other document was provided for the land where the sampled projects were implemented to prove ownership of land by Kaliro DLG.</p> <p>The SNRO said the LG was progressively titling land where it implements projects such as the plan to procure a land title for Buyinda HCIII appears on page 3 of the Kaliro LG AWP FY2019/2020.</p>	0

<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all completed projects have Environmental and Social Mitigation Certification Form completed and signed by Environmental Officer and CDO: score 1 	<p>The SEO officer provided one ESM Certification form for the completed drilling, pump testing and Installation of 16 boreholes in the district dated 29/01/2019. The certification recommended site clearing of remains debris and ground levelling and clearing stock piles.</p> <p>There were no other certifications as the SEO stated that he had not been facilitated to screen and do EIA for the other sampled projects above.</p>	<p>0</p>
<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the contract payment certificated includes prior environmental and social clearance (new one): Score 1 	<p>The payment certificated does not include prior environmental and social clearance. There was no provision on the payment certificate for the SEO and DCDO to do certify such clearance.</p> <p>A sample of the certificate seen provides for only the following staff to sign; District Engineer, HOD of the Respective Department and CAO.</p>	<p>0</p>
<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that environmental officer and CDO monthly report, includes a) completed checklists, b) deviations observed with pictures, c) corrective actions taken. Score: 1 	<p>The monitoring of progress of mitigation at Nawampiti and Budomero HVII Upgrade projects dated 28/6/2018, ref: NR 550/2 and the monitoring report on progress of boreholes drilling in the district dated 20/12/2018 compiled and signed by the SEO and DCDO.</p> <p>The Upgrade projects' report recommended the contractor to provide a schedule for visitors to monitor the site works and allow other stake holders and timely payment to be done for the workers.</p> <p>The borehole project report recommended that the contractor should put in place security to monitor since the site is not fenced and the contractor should sprinkle water in case of air pollution by dust.</p> <p>However, the reports do not include completed check lists, deviations with pictures and actions taken.</p>	<p>0</p>

Summary of requirements	Definition of compliance	Compliance justification	Score
Human resource planning and management			
<p>The LG education department has budgeted and deployed teachers as per guidelines (a Head Teacher and minimum of 7 teachers per school)</p> <p>Maximum 8 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG has budgeted for a Head Teacher and minimum of 7 teachers per school (or minimum a teacher per class for schools with less than P.7) for the current FY: score 4 	<p>The department budgeted for 89 head teachers and minimum of 7 teachers per school for FY 2019/20. The budget was UGX 7,155, 111, 816 indicated on page 27 of the of the approved budget estimates for FY 2019/20.</p>	4
<p>The LG education department has budgeted and deployed teachers as per guidelines (a Head Teacher and minimum of 7 teachers per school)</p> <p>Maximum 8 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG has deployed a Head Teacher and minimum of 7 teachers per school (or minimum of a teacher per class for schools with less than P.7) for the current FY: score 4 	<p>From the staff lists reviewed, the education department deployed a head teacher and a minimum of 7 teachers per school for the current FY 2019/20. For instance;</p> <ul style="list-style-type: none"> Mwanja Roberts was deployed to Bukamba P/S together with 21 teachers Menkere Agrrey was deployed to Namulungu P/S by the COA on 26th May, 2017 vide letter ref:CR/161/2 Wandalo Sanon Mbaasa Godfrey was deployed to gadumire P/S as head teacher together with 11 teachers. Wako Peter was deployed to Bulumba P/S as headteacher together with 14 teachers Musekwa Aidah was deployed to Budini Boys P/S as headteacher together with 15 teachers Musita Milton was deployed to Namusolo P/S as headteacher together with 10 teachers Kasajja Aggrey was deployed to Kyanfubba P/S as headteacher together with 8 teachers. Mugobolo Moses was deployed to Kisinda P/S together with 11 teachers Mugomba Alfred was deployed to Zibondo P/S together with 18 teachers Nabirye Alice was deployed to Igulamburi P/S together with 14 teachers 	4

<p>LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has filled the structure for primary teachers with a wage bill provision o If 100%: score 6 o If 80 - 99%: score 3 o If below 80%: score 0 	<p>The LG had 996 primary school teachers on payroll for FY 2019/20, according to the education department staffing status report for FY 2019/20 signed and dated 19th July, 2019. The staff ceiling for primary teachers in the LG was 1114. The wage projected wage bill for teachers in position was UGX 7,090,045,704 against the budgeted of UGX 7,155,111,816</p> <p>The department had a surplus of UGX65,066,112</p> <p>To calculate the wage bill consumption</p> $\frac{7,090,045,704}{7,155,111,816} \times 100 = 99.1\%$	3
<p>LG has substantively recruited all positions of school inspectors as per staff structure, where there is a wage bill provision.</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has substantively filled all positions of school inspectors as per staff structure, where there is a wage bill provision: score 6 	<p>The LG had two positions of Inspector of Schools in the staff structure- the Senior Inspector and Inspector of Schools.</p> <p>At the time of assessment, only the position of Inspector of Schools was substantively filled by Muwereza Paul appointed on promotion by direction of DSC under Min. No. KLR/DSC06/2019(v) vide letter ref: CR 156/1 dated 4th July, 2019.</p>	0
<p>The LG Education department has submitted a recruitment plan covering primary teachers and school inspectors to HRM for the current FY.</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the LG Education department has submitted a recruitment plan to HRM for the current FY to fill positions of</p> <ul style="list-style-type: none"> • Primary Teachers: score 2 	<p>The department submitted a recruitment plan to the HRM Office on 6th August, 2019. The recruitment plan had requests of 5 headteachers, 5 deputy headteachers.</p>	2
<p>The LG Education department has submitted a recruitment plan covering primary teachers and school inspectors to HRM for the current FY.</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the LG Education department has submitted a recruitment plan to HRM for the current FY to fill positions of</p> <ul style="list-style-type: none"> • School Inspectors: score 2 	<p>The department submitted a recruitment plan to the HRM Office on 6th August, 2019. The plan did not have request for Inspector of Schools, because there was no wage bill provision.</p>	2
Monitoring and Inspection			
<p>The LG Education department has conducted performance appraisal for school inspectors and ensured that performance appraisal for all primary school head teachers is conducted during the previous FY.</p> <p>Maximum 6 for this performance measure</p>	<p>Evidence that the LG Education department has ensured that all head teachers are appraised and has appraised all school inspectors during the previous FY</p> <ul style="list-style-type: none"> • 100% school inspectors: score 3 	<p>The Senior Inspector of Schools was appraised 14th June, 2019 by the District Education Officer. The Officer was appraised by the DEO at a level of Inspector of Schools but by the time of assessment, he had been appointed Senior Inspector of Schools at the time of assessment.</p>	3

<p>The LG Education department has conducted performance appraisal for school inspectors and ensured that performance appraisal for all primary school head teachers is conducted during the previous FY.</p> <p>Maximum 6 for this performance measure</p>	<p>Evidence that the LG Education department has ensured that all head teachers are appraised and has appraised all school inspectors during the previous FY</p> <ul style="list-style-type: none"> • Primary school head teachers <ul style="list-style-type: none"> o 90 - 100%: score 3 o 70% and 89%: score 2 o Below 70%: score 0 	<p>The head teachers were appraised by the either the Chairperson of SMC or Senior Assistant Secretaries of respective jurisdictions. They were appraised as follows;</p> <ul style="list-style-type: none"> • Mwase Willy had a Performance Agreement Form for calendar year 2019 dully signed and dated 7th February, 2019 and was appraised by SMC Chairperson of Bugoda P/S on 3rd December, 2018 • Menta Fred, the headteacher of Budini Boys' P/S was appraised by the sub County chief on 15th December, 2018 • Wako Christopher of Buyonjo P/S was appraised 1st February, 2019 by the Chairperson of SMC • Tenywa Grace head teacher of Namwiwa P/S was appraised by Chairpson of SMC on 28th December, 2018 • Bwoye Richard of bugoodo was appraised on 31st December, 2018 • Nabachwa Josephine – headteacher of Bidini Girls' P/S was appraised on 15th Deecember, 2018 • Bwire David of Buyege P/S was appariased 31st January, 2019 • Wambuzi Moses of Kinankamba P/S was appraised 10th December, 2018 <p>Percentage appraisal thus was total number of head teachers appraised divided by the total sampled giving 100%</p>	3
<p>The LG Education Department has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to schools</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department has communicated all guidelines, policies, circulars issued by the national level in the previous FY to schools: score 1 	<p>The department communicated guidelines, policies and circulars to schools as received from the national level. For instance;</p> <ul style="list-style-type: none"> • Guidelines on schools' charges circulated on 29th October, 2018 was communicated to all headteachers on 23rd January, 2019 • Circular on illegal boarding sections in unregistered schools issued 29th October, 2018 was communicated 23rd January, 2019 • Circular on teacher management information system (TMIS) issued 10th December, 2018 was communicated to all schools on 22nd February, 2019 • Circular on enforcement of Kavera ban in education institutions in Uganda issued 4th October, 2018 was communicated to all schools by the DEO on 6th march, 2019 • Circular on ban of buying examinations from commercial bureaus issued 13th March, 2019 was communicated to all schools on 15th March, 2019 • Budgeting implementation guidelines for primary and secondary schools received May, 2019 was disseminated to all heads of educational institutions in Kaliro DLG on 27th June, 2019. 	1

<p>The LG Education Department has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to schools</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department has held meetings with primary school head teachers and among others explained and sensitised on the guidelines, policies, circulars issued by the national level: score 2 	<p>The Education department held meetings with headteachers for primary schools and explained as well as sensitized them on the guidelines, policies and circulars as issued from the national level. For instance;</p> <ul style="list-style-type: none"> • Meeting held 15th March, 2019 under Min 06/03/2019 the DIS argued headteachers to submit SMC minutes to the education department office. Under Min. 07/03/2019- teachers were reminded about an existing circular which makes it illegal to operate unregistered boarding schools. The Deo also sensitized headteachers about the circulars of enforcing kavera ban in schools, ban of buying examinations from commercial bureaus as well as circular about online registration and the circular on guidelines about school charges. • Meeting held 5th December, 2018 under Min. 08/Dec/2018 reminded teachers about preparing school annual budgets and work plans. • Meeting held 29th April, 2019 under Min 06/April/2019 the DEO reminded headteachers to register online with MoES. 	2
<p>The LG Education Department has effectively inspected all registered primary schools²</p> <p>Maximum 12 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all licenced or registered schools have been inspected at least once per term and reports produced: <ul style="list-style-type: none"> o 100% - score 12 o 90 to 99% - score 10 o 80 to 89% - score 8 o 70 to 79% - score 6 o 60 to 69% - score 3 o 50 to 59 % score 1 o Below 50% score 0. 	<p>The education department inspected schools and prepared inspection reports for the FY 2018/19. There were 89 government aided primary schools and 21 private licensed schools in the LG. Reports were produced as follows;</p> <ul style="list-style-type: none"> • Term III, 2018, an inspection report dated 27th July, 2019 was prepared and it indicated that 83 out of 110 primary schools were inspected. This represented 83% inspection rate. • Term I, 2019 inspection report dated 14th May, 2019 indicated all government schools were inspected and 35% of private and licensed schools. • Term II, 2019 report indicated all 89 government and 56% of private schools inspected. <p>From the sampled schools;</p> <ul style="list-style-type: none"> • Bumanya P/S was inspected on; 18th October, 2018, 15th February, 2019 and 9th April, 2019 • Kosokwe P/S- Assessment Team did not see evidence school was inspected at least once in FY 2018/19. • Bukumankoola Muslim P/S was inspected on; 12th October, 2018 by the DEO, 18th November, 2018, 26th February, 2019 and 22nd March, 2019 • Victory Nursery and P/S- private and licensed was inspected once on 14th March, 2019 	3

<p>LG Education department has discussed the results/ reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the Education department has discussed school inspection reports and used reports to make recommendations for corrective actions during the previous FY: score 4 	<p>The Education department held meetings and discuss school inspection reports and used same to make corrective actions. For instance;</p> <ul style="list-style-type: none"> Meeting 31stMay, 2019 under Min3(i)/EDUC/05/2019 discussing reports from the DIS had that some schools did not proper latrines. They also heard about the illegal boarding sections in some schools both primary and secondary schools. Under Min.4(i)/EDUC/05/2019, the DEO reiterated that schools without proper latrines should be prioritized in the following financial year. For illegal boarding sections, schools were to be warned and advised not to operate the sections in the following term. Meeting held 12th March, 2019 under Min. No.02/EDUC/3/2019 it was noted that the vice of absenteeism in schools is rampant as some headteachers had not tamed it. It was resolved that headteachers were to compile a list of perpetual absentees and forward it to CAO's office. Under Min.No.05/EDUC/3/2019, the DIS pointed out that most schools were not fenced, hence challenge to identify their boundaries. It was suggested that all headteachers were to be trained on how to make school improvement plans. Meeting of 28th November, 2018 under Min.3(i)/EDUC/11/2018 discussed a couple of issues identified during inspection including; drunkard teachers, some schools lacking light arrestors, e.t.c. It was recommended that teachers who were perennial drinkers to be referred for counseling. 	<p>4</p>
<p>LG Education department has discussed the results/ reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG Education department has submitted school inspection reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 	<p>The school inspection reports were submitted to Directory of Education Standards Agency as follows;</p> <ul style="list-style-type: none"> Inspection report for Term III, 2018 was submitted and acknowledged by DES on 27thSeptember, 2018 Inspection report for term II, 2019 was submitted on 25th September, 2019 Inspection report for term I, 2019 was submitted 12th July, 2019 	<p>2</p>
<p>LG Education department has discussed the results/ reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the inspection recommendations are followed- up: score 4. 	<p>There was evidence that inspection recommendations were followed. For instance;</p> <ul style="list-style-type: none"> Kyozira Oliver- Education Assistant at Namwiwa P/S was released to transfer her services to Namutumba DLG. She was released on 20th March, 2019 vide letter dated 25th March, 2019 On inadequate and/or poor latrines at some schools, a follow up inspection report of 4th June, 2019 indicated that such schools were prioritized with new sanitation facilities in the following financial year. As for inadequate staff in some schools, teachers were transferred to schools that were grossly understaffed. For instance; a meeting to discuss irregular teachers was held by the DEO and the irregular teachers. As a result, a warning letter dated 3rd October, 2019 was written to Kayongo Godfrey informing him of the seriousness of his actions and consequences he was likely to face. 	<p>4</p>

<p>The LG Education department has submitted accurate/consistent reports/date for school lists and enrolment as per formats provided by MoES</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has submitted accurate/consistent data: <ul style="list-style-type: none"> o List of schools which are consistent with both EMIS reports and PBS: score 5 	<p>The LG submitted 89 list of school to MoES on 18th December, 2018 which was consistent with both EMIS and PBS</p>	<p>5</p>
<p>The LG Education department has submitted accurate/consistent reports/date for school lists and enrolment as per formats provided by MoES</p> <p>Maximum 10 for this performance measure</p>	<p>Evidence that the LG has submitted accurate/consistent data:</p> <ul style="list-style-type: none"> • Enrolment data for all schools which is consistent with EMIS report and PBS: score 5 	<p>The LG submitted data to MoES on 18th December, showing enrollment of 55,674 and it was consistent with EMIS and PBS</p>	<p>5</p>
<p>Governance, oversight, transparency and accountability</p>			
<p>The LG committee responsible for education met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the council committee responsible for education met and discussed service delivery issues including inspection, performance assessment results, LG PAC reports etc. during the previous FY: score 2 	<p>The Council Committee for Education, Health and Community Based Services met and discussed service delivery issues for education. For instance;</p> <ul style="list-style-type: none"> • Meeting held on 24th May, 2019 under MIN.26/HEC/05/2018/19 discussed status reports for quarters 1 through to 4 as presented by the DEO. In motion moved by Hon.Naudo Eseza and seconded by Hon. Kabejja, the reports were adopted the Committee and to be forwarded to Council for approval • Meeting held 14th September, 2018 under NIN.06/HEC/SEPT/2018-19(2), the revised work plans for the department were presented, deliberated on, adopted and thereafter recommended to Council for approval. • Meeting held on 12th December, 2018 under MIN. 13/HEL/DEC/2018/19 under monitoring reports, Hon.Ibanda decried the poor construction work being done at Bugoda P/S. It was also brought to the attention of the Committee that latrine constructed at Kasokwe P/S did not cater for the PWDs, let alone absence of hand washing facilities. Committee resolved that shoddy work at Bugoda P/S will not be paid for. The resolutions were adopted under MIN.14/HEL/DEC/2018/19 and recommended to Council for approval. 	<p>2</p>

<p>The LG committee responsible for education met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the education sector committee has presented issues that require approval to Council: score 2 	<p>Sector implementation plan for education were presented to Council for approval by the Committee responsible for education min the FY 2018/19. For Instance;</p> <ul style="list-style-type: none"> Meeting held on 31st May, 2019 under Min. No.64/KDLC/May/2018/19, sector report was presented to Council by the Committee Chairperson. Report was discussed by Council and thereafter recommended for approval of the work plan and budgets. The report was adopted to be a district working document. Meeting held on 20th December, 2018 under MIN. NO.31/KDLC/DEC/2018/19, the standing committees presented their reports. During deliberations, members decried the quality of reports received from standing Committees. It was resolved that Committees were to revise their methods of work and produce satisfactory reports to council Meeting sitting on 18th September, 2018 under received and discussed committee reports which involved revising of the sector work plan. The revised work plan to include the construction if a Seed Secondary School was approved. The third quarter meeting of FY 2018/19 was delayed and held late on 25th April, 2019. Under Min.No.39/KDLC/18/19, sectoral committee reports were received, discussed and approved by council. Again, under Min.No.40/KDLC/April/2018/19- sector work plan was consolidated into the district work plan and approval to council was moved to the following sitting. 	2
<p>Primary schools in a LG have functional SMCs</p> <p>Maximum 5 for this performance measure</p>	<p>Evidence that all primary schools have functional SMCs (established, meetings held, discussions of budget and resource issues and submission of reports to DEO/MEO)</p> <ul style="list-style-type: none"> 100% schools: score 5 80 to 99% schools: score 3 Below 80 % schools: score 0 	<p>Primary schools had functional SMCs as follows;</p> <ul style="list-style-type: none"> Budini Boys' primary school held SMC meetings as follows; on 14th February, 2019 under Min.5/SMC/Feb/2019 discussed budget and work plan 2019 as well as allocation of UPE funds for term I under Min. 6/SMC/Feb/2019. Meeting on 27th July, 2018 under Min.14/SMC/July/2018 discussed allocation of UPE funds for term II, 2018. Meeting 22nd October, 2018 under Min21/SMC/October/2018 of UPE funds for term III, of 2018. SMC members present were 12 with 3 females. Had submitted minutes to education office. Kanankamba P/S SMC meetings were held as follows; on 3rd July, 2018 under Min.5 discussed UPR budget estimates for term II, 2018. Meeting on 8th November, 2018 under Min.5 discussed the allocation of UPE budget estimates for term III, 2018. Other meetings were held on 30th January, 2019 under Min.6, members argued the headteacher to always be transparent in the way UPE funds are utilized. Buyinda P/S meetings for SMC were held on; 15th August, 2018 under Minute V/08/2018 discussed UPE funds allocation for term II, 2018. Headteacher informed members that school received UGX 1,980,000 for the term. Meeting on 4th December, 2018 under Min.8/12/2018 discussed UPE grant accountability. Other meetings were held on 15th March, 2019, 23rd April, 2019. SMC members present were 13 including 4 females. Bulumba P/S had SMC meetings as follows; on 16th March, 2019 under Mi.5 heard from the headteacher enrolment of pupils at 1068. Meeting held 16th May, 2019 under Minute 5/SMC/2019 discussed UPE accountability statement for 4th quarter FY 2018/19. Other meetings were on 13th September, 2018 where under Minute 6/SMC/2018 discussed and approved the annual budget for FY 2018/19 	5

<p>The LG has publicised all schools receiving non- wage recurrent grants</p> <p>Maximum 3 for this performance measure</p>	<p>Evidence that the LG has publicised all schools receiving non-wage recurrent grants e.g. through posting on public notice boards: score 3</p>	<p>The education department publicized all school that received non-wage recurrent grants for FY 2018/19. The publicized list consisted of all the 85 UPE schools plus the COPS making a total of 89 schools receiving UPE grants. The lists ranged from Bugada Parents P/S that received a total UGX 3,475,375 to Zibondo P/S that received UGX 7,581,212 in the FY 2018/19. The list was not dated nor signed.</p>	<p>3</p>
<p>Procurement and contract management</p>			
<p>The LG Education department has submitted input into the LG procurement plan, complete with all technical requirements, to the Procurement Unit that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<p>• Evidence that the sector has submitted procurement input to Procurement Unit that covers all investment items in the approved Sector annual work plan and budget on time by April 30: score 4</p>	<p>Much as procurement in puts were clearly indicated in the PDP of FY 2019/20, there was no evidence as to when the department made their submissions to the plan.</p>	<p>0</p>
<p>Financial management and reporting</p>			
<p>The LG Education department has certified and initiated payment for supplies on time</p> <p>Maximum 3 for this performance measure</p>	<p>Evidence that the LG Education departments timely (as per contract) certified and recommended suppliers for payment: score 3.</p>	<p>The LG made timely payment of suppliers during the previous FY as per following sampled vouchers</p> <p>Voucher No. 9/13 dated 30th November 2018 to M/s.Great Lakes Ltd for the supply of Fuel for monitoring closure of Schools. LPO dated 30th November 2018 was signed by DEO on 30th November, 2018. Payment effected within one day</p> <p>Voucher No. 6/12 dated 15,145,400 to M/s Pagoda Stores Ltd. Contract dated 15th of October 2018. Purpose was the construction of 5-Stance Pit latrine at Nsamule Primary School in Naiwaikoke Sub county at UGX 15,143,400. Certification done by the District Engineer on 27th November, 2018. Requisition for payment made on 26th November 2018. District Education Officer Forwarded the requisition by signing on it on 26th December 2018 Payment effected on 28th December 2018 within a period of 2days</p> <p>Voucher No. 4/12 dated 18th December 2018 to M/s Sula Nawampiti Investments of Shs 15,215,932 for construction of 5-stance Pit latrine at Kisinde Primary School in Kisinde Sub county. Contract dated 2nd day of November 2018. Payment Certificate No. 2 Issued by the District Engineer on 17th December, 2018. District Education Officer Signed on the Certificate on 17th December 2018. Request for payment made on 17th December 2018. DEO endorsed the request for payment on 18th December 2018.</p>	<p>3</p>

<p>The LG Education department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the department submitted the annual performance report for the previous FY (with availability of all four quarterly reports) to the Planner by 15th of July for consolidation: score 4 	<p>The Assessment Team was not availed evidence when the annual performance plan was sent to the Planner for consolidation.</p> <p>The department pointed out challenges with the PBS as it was limited in options. For example, the system did not indicate on what date the department made submissions to the Planner.</p> <p>The department claimed that the said automated email that was said to be receiving by them after population the system was not functional. In fact, they claimed they have never received the said email. The Planner, too supported this assertion.</p>	0
<p>LG Education has acted on Internal Audit recommendation (if any)</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year <ul style="list-style-type: none"> o If sector has no audit query score 4 o If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: score 2 o If all queries are not responded to score 0 	<p>There was documentary evidence by way of reports dated 6th August 2019 and 13th March 2019 that the sector had provided information to the internal audit on the status of implementation of all audit findings for the previous financial year 2018/2019</p> <p>Action on queries raised by the Internal Auditor during FY 2018/19 were 7 and all were cleared by the department.</p> <p>Two queries raised were; the lack of Accountability of UGX 121,253,236 spreading for through all quarters of FY 2018/19 and the delayed implementation of planned activities.</p>	2
Social and environmental safeguards			
<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department in consultation with the gender focal person has disseminated guidelines on how senior women/men teachers should provide guidance to girls and boys to handle hygiene, reproductive health, life skills, etc.: Score 2 	<p>The department in consultation with the gender focal person disseminated guidelines particularly to Senior Women and Men teachers should handle sanitation in girls and boys.</p> <p>For instance, on 1st October, 2018 the department held a workshop aimed at sensitizing Senior Women and men teachers on the said guidelines. Issues noted during the training were; handling of menstrual hygiene to maintain dignity of girls, among others. Topics like; gender mainstreaming, personal hygiene for both girls and boys, understanding menstruation, oral hygiene and key practices as well as safe water chain were handled. These deliberations were captured by the Assessment Team in a report addressed to the CAO, by the DCDO and dated 3rd October, 2018</p>	2
<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that LG Education department in collaboration with gender department have issued and explained guidelines on how to manage sanitation for girls and PWDs in primary schools: score 2 	<p>In the report dated 3rd October, 2018, a training to sensitize stakeholders on how to manage sanitation for boys and girls, the issue of sanitation for PWDs was included in the training conducted on 1st August, 2018. Participants were particularly informed about the requirement to ensure that all infrastructure including sanitation points were friendly to persons with disabilities.</p>	2

<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the School Management Committee meets the guideline on gender composition: score 1 	<p>The School Management Committee met guidelines on gender composition per the guidelines. For instance;</p> <ol style="list-style-type: none"> Bumanya P/S SMC had 13 members together with 3 female members Kasokwe P/S had 13 members and 4 females on the SMC Bukumankoola P/S SMC had 13 members with 2 females on the foundation body Budini Boys' P/S had 13 members with 3 females on the foundation body Bunankamba P/S had 13 members with 4 females 	1
<p>LG Education department has ensured that guide- lines on environmental management are dissemi- nated and complied with</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG Education department in collaboration with Environment department has issued guidelines on environmental management (tree planting, waste management, formation of environmental clubs and environment education etc.): score 1: 	<p>There was no evidence that the Education department issued guidelines to schools on environmental management by the time of assessment.</p>	0
<p>LG Education department has ensured that guide- lines on environmental management are dissemi- nated and complied with</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that all school infrastructure projects are screened before approval for construction using the checklist for screening of projects in the budget guidelines and where risks are identified, the forms include mitigation actions: Score 1 	<p>Not all infrastructure projects were screened before approval for construction using a checklist for screening of projects. For instance;</p> <ul style="list-style-type: none"> Construction of Bukamba Seed Secondary School under the UGIFT program, Environment Impact Assessment was undertaken and report was signed by the Environmental Officer. Report was dated 16th May, 2019. No other screening forms were seen for the rest of the infrastructure projects. 	0
<p>LG Education department has ensured that guide- lines on environmental management are dissemi- nated and complied with</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> The environmental officer and community development officer have visited the sites to checked whether the mitigation plans are complied with: Score 1 	<p>No evince was availed to the Assessment Team whether Environmental Officer and CDO visited construction sites to check whether the mitigation plans were complied.</p>	0

Summary of requirements	Definition of compliance	Compliance justification	Score
Human resource planning and management			
<p>LG has substantively recruited primary health care workers with a wage bill provision from PHC wage</p> <p>Maximum 8 points for this performance measure</p>	<p>Evidence that LG has filled the structure for primary health care with a wage bill provision from PHC wage for the current FY</p> <ul style="list-style-type: none"> • More than 80% filled: score 8 • 60 – 80% - score 4 • Less than 60% filled: score 0 	<p>According to the IPF for the district PHC wage allocation for current FY 2019/2020, the approved budget for conditional grant (wage) for PHC workers was UGX 2,309,903,000</p> <p>The actual wage bill as per wage bill analysis for 187 PHC workers in post for FY 2019/2020 was UGX 1,971,581,648 leaving the department with a wage surplus of UGX 338,315,542</p> <p>$\frac{1,971,581,648}{2,309,903,000} \times 100 = 85.4\%$</p> <p>Efforts were seen towards recruitment at the time of assessment. Recruitment plan to cover the staffing gap was submitted by Ag. DHO on 19th July 2019 (ref. 156/2) to the CAO, Cc: PHRO. There was a request letter dated 7th October, 2019 to the PS Ministry of Public Service (received on 10th October, 2019) requesting for permission to recruit 39 (additional including a DHO) staff for the Health department with an annual salary of UGX 295,630,200.</p> <p>A letter dated 11th October, 2019 (ref. ARC 6/293/5 Vol. 39) from the PS granting the request to recruit was received on 15th November, 2019 at the registry, Kaliro DLG.</p>	8
<p>The LG Health department has submitted a comprehensive recruitment plan for primary health care workers to the HRM department</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that Health department has submitted a comprehensive recruitment plan/re-quest to HRM for the current FY, covering the vacant positions of primary health care workers: score 6</p>	<p>The DLG health department approved 230 positions for PHC workers while those in post were 287 and those vacant were 43, representing a staffing level of 81%.</p> <p>A recruitment plan to cover the staffing gap was submitted by Ag. DHO on 19th July 2019 (letter ref. 156/2) to the CAO, Cc: PHRO.</p> <p>The district health department targeted to recruit 39 health workers, including the DHO.</p>	6

<p>The LG Health department has conducted performance appraisal for Health Centre IVs and Hospital In-charge and ensured performance appraisals for HC III and II in-charges are conducted</p> <p>Maximum 8 points for this performance measure</p>	<p>Evidence that the all health facilities in-charges have been appraised during the previous FY:</p> <ul style="list-style-type: none"> o 100%: score 8 o 70 – 99%: score 4 o Below 70%: score 0 	<p>Not all health facility in-charges were appraised in FY 2018/2019.</p> <p>From the 5 sampled files for health facility in-charges, the staff performance appraisals for the FY 2018/2019 were as follows:</p> <ol style="list-style-type: none"> 1. Kibirigye Paul, Medical Officer, Medical I/C Bumanya HC IV. File N: CR/D/10456. Appraised on 15th July, 2019 by the PAS. The appraiser’s comment was “management of human resources at the facility needs to be addressed to improve on health service delivery”. 2. Wambuzi Moses Grace, Senior Medical Clinical Officer I/C Namwiwa HC III. File No: CR/D/10137. Not appraised. 3. Kikomeko Robert Moses, Senior Medical Clinical Officer I/C Namugongo H/C III. File No: CR/D/10142. Appraised on 1st July, 2019 by the SAS Namugongo S/C. Comment of the appraiser was “hewas an experienced officer and allocates enough time to his work”. 4. Mwenekira James, Senior Medical Clinical Officer I/C Nawankoke H/C III. File No: CR/D/10122. Appraised on 20th June, 2019 by the Town Clerk of Nawankoke TC. Comment/recommendation on the file was “he has acquired the required expertise to enable him perform the duties at a principle level if the structure allows”. Countersigned by the Principle HI on 13th July, 2019 and by the PAS on 13th August, 2019. 5. Babirye Sepholoza, enrolled Nurse I/C Kasokwe H/C II. File No: CR/D//101172. Appraised on 1st July, 2019 by the SAS Kasokwe S/C. The comment was “Babirye is a hard-working officer. She should be promoted in service in case of an opportunity”. Countersigned by the SNO on 17th July, 2019 and by PAS on 17th July, 2019. <p>4 out of 5 sampled health facility I/Cs were appraised, thus 80%</p>	
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<p>The Local Government Health department has deployed health workers across health facilities and in accordance with the staff lists submitted together with the budget in the current FY.</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG Health department has deployed health workers in line with the lists submitted with the budget for the current FY, and if not provided justification for deviations: score 4 	<p>The LG allocated health workers in line with lists submitted with the budget for FY 2019/2020 in PBS system.</p> <p>Kaliro DLG had 1 HC IV and 12 lower level health units (totaling to 13 governments facilities). The health department had a staffing norm of 230 staff of which 187 were in post with a gap of 43 health workers indicating 81% staffing level. We reviewed approved staff list and compared it with the actual numbers of health workers at the health facilities.</p> <p>The PAT sampled the following facilities:</p> <ul style="list-style-type: none"> Bumanya HC IV: 42 health workers were deployed out of the 48-staffing norm. Namugongo HC III: 17 health workers were deployed out of the 19-staffing norm. Kasokwe HC II: According to the deployment list from the DHO, the HC had 7 health workers out of 9 staffing norm. However, PAT did not find any health work at the health facility at the time of assessment, though the facility was open. Efforts were made to reach to the I/C but reach in vain. So, we could not confirm the number of health workers on ground. Kaliro TC HC II: According to the deployment list from the DHO, the HC had 10 health workers 9 staffing norm. However, PAT did not find any health work at the health facility at the time of assessment, though the facility was open. Efforts were made to reach to the reach I/C but in vain. So, we could not confirm the number of health workers on ground. <p>Therefore, due to the absence of health workers in 2 of the above sampled health facilities, the AT was not able to ascertain that department had deployed health workers in line with the lists submitted.</p>	0
Monitoring and Supervision			
<p>The DHO/MHO has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to health facilities</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the DHO/ MHO has communicated all guidelines, policies, circulars issued by the national level in the previous FY to health facilities: score 3 	<p>There was no evidence that the DHO communicated all the policies, guidelines and circulars.</p> <p>The DHO did not have the Sector Grant and Budget Guidelines for Local Governments, 2019/2020 issued by MoH in the previous FY 2018/2019.</p> <p>Other guidelines/policies and circulars were received by DHO's office in financial year 2018/2019 but not all were available in the sampled health facilities.</p> <p>For instance, the National Sanitation and Hygiene Management Guidelines, 2017 and a circular (dated 6th June, 2019) on measles and Rubella outbreak and preparation for the National MR Vaccination Campaign (from Dr. Diana Atwine, P.S MoH) were not available in all the 4 sampled health facilities.</p> <p>The visited health facilities were;</p> <ol style="list-style-type: none"> Bumanya HC IV Namugongo HC III Kasokwe HC II Kaliro TC HC II <p>During the field visits in the health facilities, PAT established that some guidelines/policies and circulars were not available in the sampled health facilities.</p>	0

<p>The DHO/MHO has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to health facilities</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the DHO/ MHO has held meetings with health facility in- charges and among others explained the guidelines, policies, circulars issued by the national level: score 3 	<p>The PAT reviewed the DHT monthly meeting minutes. There was no evidence that the DHO held meetings with health facility in-charges to explain the guidelines, policies, circulars issued by the national level.</p>	<p>0</p>
<p>The LG Health Department has effectively provided support supervision to district health services</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that DHT/MHT has supervised 100% of HC IVs and district hospitals (including PNFPs receiving PHC grant) at least once in a quarter: score 3</p>	<p>The District had 1 HC IV.</p> <p>The Assessment Team obtained evidence to the effect that the DHT conducted all the required 4 quarterly integrated technical support supervision visits to Bumanya H/C IV (at least one per quarter).</p> <p>The DHO's quarterly supervision reports were reviewed and the supervision was as below.</p> <p>Quarter1, 2018/19: The DHT conducted for 5 days (10th, 12th, 14th, 17th and 20th September, 2018). 20 health facilities including Bumanya HC IV were supervised. The team looked at the availability of medicines and supplies, functionality of HUMCs among others. The report was written on 30th September, 2018.</p> <p>Quarter2, 2018/19: Conducted for 5 day (19th, 20th, 21st, 27th and 28th December, 2018). 25 health facilities including Bumanya HC IV were supervised. The supervision team included the DHO, CAO, RDC among others. The report was written on 9th January, 2019.</p> <p>Quarter3, 2018/19: Conducted for 5 days (18th to 22nd March, 2019) by DHT members headed by the DHO. Several health facilities including Bumanya HC IV were supervised. Construction of a bigger ward, attendance t duty by health workers, time management, and repair of ambulances were recommended. The report was written on 3rd April, 2019.</p> <p>Quarter4, 2018/2019: DHT headed by the DHO conducted support supervision for 5 days (18th to 22nd March, 2019) to the district health facilities including Bumanya HC IV. Issus like lack of Blood Pressure machine, dirty latrines and compound, broken ambulance vehicle was raised.</p> <p>Therefore the DHT had supervised Bumanya HC IV 100%.</p>	<p>3</p>

<p>The LG Health Department has effectively provided support supervision to district health services</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that DHT/MHT has ensured that HSD has supervised lower level health facilities within the previous FY:</p> <ul style="list-style-type: none"> • If 100% supervised: score 3 • 80 - 99% of the health facilities: score 2 • 60% - 79% of the health facilities: score 1 • Less than 60% of the health facilities: score 0 	<p>There were no support supervision reports at DHO's office, submitted by the HSD I/Cs.</p> <p>Evidence was also derived from the MoH facility support supervision book at the health facilities.</p> <p>3 health facilities were visited and PAT established that technical support supervision visits were conducted by the HSD as indicated below:</p> <ul style="list-style-type: none"> • Namugongo HC III: support supervision was only conducted in the 1st quarter. There was no evidence of supervision in the 2nd, 3rd and 4th quarters. • Kasokwe HC II: There was no staff on ground at the health facility to avail evidence of support supervision to the AT. • Kaliro TC HC II: There was no staff on ground at the health facility to avail evidence of support supervision to the AT. <p>From the above evidence, the HSD supervised the lower level health facilities only once in quarter one out of the 12 supervision visits that were expected.</p>	0
<p>The LG Health department (including HSDs) have discussed the results/reports of the support supervision and monitoring visits, used them to make recommendations for corrective actions and followed up</p> <p>Maximum 10 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all the 4 quarterly reports have been discussed and used to make recommendations (in each quarter) for corrective actions during the previous FY: score 4 	<p>We reviewed the minutes of the DHT quarterly review meetings to ascertain whether all the 4 quarterly support supervision reports were discussed to make recommendations.</p> <p>On 24th September, 2018, a DHT performance review meeting was held to present and discuss support supervision report for quarter one, FY 2018/2019 (Min.06/PR/09/2018). Recommendations on signing an attendance register at the health facility, repairing the ambulances, writing the NMS about the missing items were made.</p> <p>On 21st December, 2018, a DHT performance review meeting was held to present and discuss support supervision report for quarter two, FY 2018/2019 (Min.06/PR/12/2018). For example, a recommendation was made for the district to recruit and post a driver to Bumanya HC IV.</p> <p>On 29th March, 2019, a DHT performance review meeting was held to present and discuss support supervision report for quarter three FY 2018/2019 (Min.06/PR/03/2018). The meeting recommended construction of a placenta pit and a pit latrine.</p> <p>On 15th July, 2019, a DHT performance review meeting was held to present and discuss support supervision report for quarter four, FY 2018/2019 (Min.06/PR/04/2018). The meeting recommended that the DHO should lobby from Implementation partners (IPs) for lighting system for Budomere HC II</p>	4
<p>The LG Health department (including HSDs) have discussed the results/reports of the support supervision and monitoring visits, used them to make recommendations for corrective actions and followed up</p> <p>Maximum 10 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the recommendations are followed up and specific activities undertaken for correction: score 6 	<p>There was evidence to show that supervision findings and recommendations had been followed up and corrective actions undertaken.</p> <p>For instance:</p> <p>On 24th June, 2019, the CAO (Mr. Kizito Mukasa Fred) wrote a letter to the PS, MoH submitting a list of 148 health workers for staff uniforms as most of the staff did not have uniforms to put on while on duty.</p>	6

<p>The LG Health department has submitted accurate/consistent reports/data for health facility lists receiving PHC funding as per formats provided by MoH</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has submitted accurate/consistent data regarding: <ul style="list-style-type: none"> o List of health facilities receiving PHC funding, which are consistent with both HMIS reports and PBS: score 10 	<p>The DLG had 17 health facilities that benefited from PHC funds. 4 were PNFPs and 13 were Government facilities.</p> <p>The Assessment Team sampled 4 facilities to establish evidence of submission of HMIS reports. The DHO did not avail the reports for the sampled facilities for the month of August, 2018.</p> <p>During field visits, PAT established that:</p> <p>Bumanya HC IV: submitted on 6th September, 2018.</p> <p>Mamugongo HC III: No evidence of submission was provided and there was no plausible explanation given.</p> <p>At Kasokwe HC II and Kaliro TC HC II, PAT did not find any health worker at the health facility at the time of assessment, though the facility was open. Efforts were made to reach to the reach I/C but in vain. So, we could not ascertain whether these facilities submitted HMIS reports.</p> <p>The list of health facilities provided by from DHO (dated 15th November, 2019) had Kisinda HC II with an annual PHC fund of UGX 6,118,168. However, Kisinda HC II was not reflected in the list of the health facilities from MoH that submitted HMIS reports.</p> <p>Therefore, data regarding the list of health facilities receiving PHC funding was not consistent with both HMIS reports and PBS.</p>	0
Governance, oversight, transparency and accountability			
<p>The LG committee responsible for health met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG committee responsible for health met and discussed service delivery issues including supervision reports, performance assessment results, LG PAC reports etc. during the previous FY: score 2 	<p>LG committee responsible for health met and discussed service delivery issues.</p> <p>For example:</p> <p>On 14th September, 2018 in the Health Board Room, the committee for Health, Education and Community held a meeting to discuss health issues (Min.06/HEL/SEPT/2018/2019: Presentation of revised work plans). A revised work plan for the health department was presented and recommended for approval by council. The revision included upgrading (construction) of Nawampiti HC II and Budomero HC II to level III.</p> <p>On 24th May, 2019 in the Health Board Room, the committee for Health, Education and Community held a meeting to discuss health issues (Min.26/HEL/05/2019/2020: Status reports from HODs). The health status report was presented by the ADHO-Environmental Health on behalf of the DHO and was recommended for council approval.</p> <p>The report entailed issues on generator house and placenta pit at Buyinda HC II, renovation of health office block, expansion of the lab and maternity at Bumanya HC IV among others.</p>	2

<p>The LG committee responsible for health met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the health sector committee has presented issues that require approval to Council: score 2 	<p>The Health sector committee presented issues for approval by Council.</p> <p>For instance:</p> <ul style="list-style-type: none"> Kaliro district 14th meeting held 11th September, 2018 under Min.no. 9/KDLGC/SEPT/2018/19: Revised work plans. The revised work plan for health was sent to the responsible standing committee for review 15th meeting held on 18th September, 2018 under Min.no. 16/KDLGC/SEPT/2/18/19: Standing committee reports. Revised work plan with upgrade of 2 HCs to HC III (Nawampiti and Kaliro Tc HC) were recommended for approval by council. 18th meeting held 23rd May, 2019 under Min. no. 53/KDLGC/MAY/2/18/19: Approval of work plans. The district work plan (health inclusive) was approved. 19th meeting held 31st May, 2019 under Min. no. 64/KDLGC/MAY/2/18/2019: Standing committee reports. The committee report on health was received and adopted by the house. 	2
<p>The Health Unit Management Committees and Hospital Board are operational/functioning</p> <p>Maximum 6 points</p>	<p>Evidence that health facilities and Hospitals have functional HUMCs/Boards (established, meetings held and discussions of budget and resource issues):</p> <ul style="list-style-type: none"> If 100% of randomly sampled facilities: score 6 If 80-99 %: score 4 If 70-79 %: score 2 If less than 70%: score 0 	<p>The Performance Assessment Team reviewed HUMC minute reports in the DHO's office to establish whether the 4 mandatory meetings were held to discuss the budget and resources issues.</p> <p>The Performance Assessment Team visited 3 sampled health facilities and established that HUMC's sat as indicated below:</p> <ul style="list-style-type: none"> Bumanya HC IV: HUMC sat in 3 quarter as follows: <ul style="list-style-type: none"> Q1: 18th September, 2018, Q2: 5th December, 2018, Q3: No evidence Q4: 1st April, 2019 Namugongo HC III: There were no HUMC minutes presented. The staff who was standing in for the I/C could not find where the HUMC minutes were kept. Kasokwe HC II: PAT did not find any health work at the health facility at the time of assessment, though the facility was open. Efforts were made to reach to the reach I/C but in vain. Kaliro TC HC II: PAT did not find any health work at the health facility at the time of assessment, though the facility was open. Efforts were made to reach to the reach I/C but in vain. <p>In the 4 health facilities, 16 (4x4) meetings were expected. However, only 3 sets of minutes for 3 meetings were seen, translating into 19%.</p>	0
<p>The LG has publicised all health facilities receiving PHC non-wage recurrent grants</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG has publicised all health facilities receiving PHC non-wage recurrent grants e.g. through posting on public notice boards: score 4 	<p>A list of all PHC recurrent non-wage beneficiaries (both public = 13 and PNFPs = 4) was displayed at the district health department notice-board, signed by the Ag. DHO on 15th November, 2019.</p> <p>The list indicated the name of the health facility, annual amount for each facility totaling to UGX 205,058,657 and quarter 2 for each facility totaling to UGX 51,264,664 for FY 2019/2020.</p>	4
Procurement and contract management			

<p>The LG Health department has submitted input to procurement plan and requests, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the sector has submitted input to procurement plan to PDU that cover all investment items in the approved Sector annual work plan and budget on time by April 30 for the current FY: score 2 	<p>The Health department made in put to the procurement plan to PDU and it was received on 15th July, 2019 (submission letter dated 10th July, 2019). The PDP covered all sector investments items as captured in the approved sector annual work plan and budget. Some of the investment items included were;</p> <ul style="list-style-type: none"> Construction of structures for up grading Kasokwe HC II to HC III at a contract sum of UGX 650,000,000 with PHC funding Completion of renovation of DHO's office block at a contract sum of UGX 20,600,000 with PHC funding. 	2
<p>The LG Health department has submitted input to procurement plan and requests, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that LG Health department submitted procurement request form (Form PP5) to the PDU by 1st Quarter of the current FY: score 2. 	<p>There was no evidence that the health department submitted a procurement request (PP form 1) to PDU.</p> <p>DHO's claim was that they could not submit the request because the funds for the planned projects for FY 2019/2020 had not yet been released.</p>	0
<p>The LG Health department has certified and initiated payment for supplies on time</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the DHO/ MHO (as per contract) certified and recommended suppliers timely for payment: score 4. 	<p>There was documentary evidence that the DHO/ (as per contract) certified and recommended suppliers timely for payment: as the following Sampled Vouchers</p> <p>Voucher No. 22/10 dated 26th October 2018 of Shs 1600,000 to Great Lakes Service LPO No. 415 dated 26th October 2018. For the supply of Fuel to DHO's Office.</p> <p>Requisition for Payment made on 23rd October, 2019 and the DHO recommended for payment by signing on the requisition on 24th October, 2018 Payment effected within a period of 3days</p> <p>Voucher No. 34/6 dated 26th June, 2019 of Shs 117,439,037 to Green Heat Uganda Ltd being payment for the upgrading of Nawampiti HCII to HCIII. Certification issued on 26th June 2019, by the District Engineer. Request for payment made on 24th June 2019 and DHO recommended payment on 26th June, 2019. Payment effected on 26th June 2019 within 1 day.</p> <p>Voucher No. No 35/6 dated 26th June, 2029 of Shs 127,149,769SSSS to Green Heat Uganda Ltd for purpose of upgrading of Budomero and Nawampiti HCIIIs to HCIIIIs. Certification was done by the District Engineer on 26th June 2019. Request for payment made on 24th June 2019 Payment effected within two days.</p>	4
Financial management and reporting			

<p>The LG Health department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the department submitted the annual performance report for the previous FY (including all four quarterly reports) to the Planner by mid-July for consolidation: score 4 	<p>There was no evidence that the department submitted the annual performance report for the previous FY 2018/2019 to the Planner for consolidation.</p> <p>There was no evidence of submission of quarterly reports to Planner during FY 2018/2019:</p>	0
<p>LG Health department has acted on Internal Audit recommendation (if any)</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year</p> <ul style="list-style-type: none"> If sector has no audit query: Score 4 If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: Score 2 points If all queries are not responded to Score 0 	<p>There was no documentary evidence that the sector had provided information to the internal audit on the status of implementation of all audit findings for the previous financial year FY 2018/2019. A total number of 9 audit queries were raised and none were responded to by the time of assessment.</p> <p>The Following were the internal Audit Queries</p> <ul style="list-style-type: none"> Lack of Accountability for UGX 527,976,594 Maintenance of stale Cheque Shs 260,200 Missing Vouchers worth UGX 6,196,5000 Delays in implementation of planned activities 	0
Social and environmental safeguards			
<p>Compliance with gender composition of HUMC and promotion of gender sensitive sanitation in health facilities.</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"> Evidence that Health Unit Management Committee (HUMC) meet the gender composition as per guidelines (i.e. minimum 30 % women: score 2 	<p>Apart from Bumanya HC IV with HUMC gender composition of 36% (4 women out of 11 members), Performance Assessment Team was not availed with lists of HUMC members for other health facilities that were sampled and visited. The responsible officers were not available to at the time of assessment to provide the documents.</p>	0
<p>Compliance with gender composition of HUMC and promotion of gender sensitive sanitation in health facilities.</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"> Evidence that the LG has issued guidelines on how to manage sanitation in health facilities including separating facilities for men and women: score 2. 	<p>There was no evidence that the LG issued guidelines on how to manage sanitation in the facilities.</p> <p>For example, during the field visit, the PAT saw that the latrine for Kasokwe HC II was not labeled separating the facility for men and women. National Sanitation and Hygiene Management Guidelines, 2017 were also not available.</p>	0

<p>LG Health department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that all health facility infrastructure projects are screened before approval for construction using the checklist for screening of projects in the budget guidelines and where risks are identified, the forms include mitigation actions: Score 2 	<p>There was evidence that health facility projects were screened before approval for construction during financial year 2018/2019. For example:</p> <p>There was a screening report for PHC development activities, FY 2018/2019. The report on the projects for up-grade of Nawampiti HC II and Budomero HC II to HC IIIs was written to CAO on 31st May, 2019 by the Senior Environmental Officer (Ms. Mr. Diogo Paul). The environmental and social impact assessment report forms for both projects signed by the CDO and the SEO were attached with mitigation measures for the risks that were identified.</p>	2
<p>LG Health department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> The environmental officer and community development officer have visited the sites to check whether the mitigation plans are complied with: Score 2 	<p>There was evidence that projects under health were visited to check for the implementation of environmental mitigation measures during financial year 2018/2019.</p> <p>For example:</p> <p>A report by the SEO dated 28th June, 2019 was written to CAO on monitoring progress of the mitigation at Nawampiti and Budomero HC II up-grade projects. Some of the recommendations in the report were as follows: timely payment for workers should be done, the warning signs should be put in place to avoid unnecessary accidents and to guide site movements, contractor should secure the dug pits from animals and young children, and contractor should be summoned to explain the delays.</p>	2
<p>The LG Health department has issued guidelines on medical waste management</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"> Evidence that the LG has issued guidelines on medical waste management, including guidelines (e.g. sanitation charts, posters, etc.) for construction of facilities for medical waste disposal: score 4. 	<p>There was evidence that Kaliro LG issued guidelines on medical waste management.</p> <p>The Performance Assessment Team visited the 4 sampled health facilities and established that there were posters/charts on the wall at different service points to guide health workers on health care waste handling.</p>	4

Summary of requirements	Definition of compliance	Compliance justification	Score
Planning, budgeting and execution			
<p>The DWO has targeted allocations to sub-counties with safe water coverage below the district average.</p> <p>Maximum score 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the district Water department has targeted sub-counties with safe water coverage below the district average in the budget for the current FY: <ul style="list-style-type: none"> o If 100 % of the budget allocation for the current FY is allocated to S/Cs below average coverage: score 10 o If 80-99%: Score 7 o If 60-79: Score 4 o If below 60 %: Score 0 	<p>The District Local Government safe water average was 62.60% and according to the budget and water sector work plan for 2019/2020 there were seven (7) sub counties below the district safe water average and these included,</p> <p>Bumanya sub county with 59.20%, Gadumire sub county with 53.84%, Kisinda sub county with 44.60%, Kasokwe sub county with 54.09%, Buyinda sub county with 51.61%, Nawaikoke sub county with 59.60%, Bukamba sub county with 45.47%.</p> <p>According to the 2019/2020 approved budget and work plan, the district planned to construct 17 bore holes at UGX 449,758,953, rehabilitate 20 bore holes at UGX 68,450,940, construct one 4 stance pit latrine at UGX 18,640,000 carry out sanitation and home improvement campaigns at 19,801,980 leading to total sector budget of UGX 606,374339.</p> <p>All the 17 bore holes were to be constructed in sub counties below the district safe water average which were; Bumanya, Nawaikoke, Bukamba and Buyinda each with 2 boreholes and Gadumire, Kisinda, Kasokwe each with 3 boreholes</p>	10
<p>The district Water department has implemented budgeted water projects in the targeted sub-counties (i.e. sub-counties with safe water coverage below the district average)</p> <p>Maximum 15 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the district Water department has implemented budgeted water projects in the targeted sub-counties with safe water coverage below the district average in the previous FY. <ul style="list-style-type: none"> o If 100 % of the water projects are implemented in the targeted S/Cs: <ul style="list-style-type: none"> Score 15 o If 80-99%: Score 10 o If 60-79: Score 5 o If below 60 %: Score 0 	<p>According to the Budget for the previous F Y 2018/2019, the water sector allocation was UGX 535,762,000 which was planned as follows,</p> <p>Rehabilitation of 18 boreholes at UGX 47,745,846.</p> <p>Construction of a 4-stance pit latrine at UGX 17,000,000.</p> <p>Sanitation and home improvement campaigns at 21,052,032.</p> <p>Construction of 16 bore holes at UGX 399,640,176 in sub counties below the district safe water average as below.</p> <p>Buyinda sub county with 1 borehole,</p> <p>Bumanya sub county with 2 bore holes.</p> <p>Bukamba dub county with 2 bore hole,</p> <p>Nawaikoke sub county with 1 bore hole.</p> <p>Namwiwa sub county with 2 boreholes.</p> <p>According to the annual progress report CR Ref: 752/1 dated 7th July 2019 which was submitted to the Ministry of Water and Environment on 12th July 2019, there was evidence that the district water department implemented budgeted water projects in targeted sub counties with safe water coverage below the district average in the previous FY 2018/2019.</p>	15
Monitoring and Supervision			

<p>The district Water department carries out monthly monitoring of project investments in the sector</p> <p>Maximum 15 points for this performance measure</p>	<p>Evidence that the district Water department has monitored each of WSS facilities at least annually.</p> <ul style="list-style-type: none"> • If more than 95% of the WSS facilities monitored: score 15 • 80% - 95% of the WSS facilities - monitored: score 10 • 70 - 79%: score 7 • 60% - 69% monitored: score 5 • 50% - 59%: score 3 • Less than 50% of WSS facilities monitored: score 0 	<p>There was evidence that the district water department monitored each of the WSS facilities at least annually for example,</p> <p>Monthly monitoring report dated 27th July 2018 for both old and new water sources covering deep wells, shallow wells, public latrines and piped water scheme in all the 11 sub counties and the findings indicated that in Namwiwa sub county water source WDD was functional, good environment with flooded soak pit. It was not fenced although water user committee was reported functional.</p> <p>Monthly monitoring report dated 29th August 2018 for deep wells, shallow wells, pit latrines and piped water scheme in Bulumbi covering all the 11 sub counties for instance in Gudumire sub county water source WDD 4788 was reported functional, not fenced with dirty environment.</p> <p>Monthly monitoring report dated 28th September 2018 for deep wells, shallow wells, pit latrines and piped water scheme in Bulumbi water source was reported not fenced, water user committee was functional, clean environment with flooded soak pit.</p>	<p>15</p>
<p>The district Water department has submitted accurate/consistent reports/ data lists of water facilities as per formats provided by MoWE</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the district has submitted accurate/consistent data for the current FY: Score 5 	<p>1st quarter report Ref CR/752/1 dated 15th October 2018 and was submitted on 18th October 2018 to Ministry of water and environment and it contained sanitation and hygiene report, software report , hard ware report for 2018/2019 for instance, the software report indicated that 16 water user and sanitation committees were held and trained as well as holding one social mobilizers meeting.</p> <p>The hard ware report indicated that environmental screening for 16 water sources was implemented, 6 old water sources were rehabilitated, hydrological surveys for 16 water sources was done and the drilling of 16 boreholes was reported on going in the period under review.</p> <p>2nd quarter report Ref CR/752/1 dated 11th January 2019 and was submitted to Ministry of water and environment on 16th January 2019 contained 2nd quarter progress report, sanitation and hygiene report, software activities implemented as well as performance form B for 2018/2019.</p> <p>3rd quarter report Ref CR 752/1 dated 15th April 2019 which was submitted to the Ministry of water and environment on 17th April 2019 contained 3rd quarter progress, sanitation and hygiene report, software report and 2nd quarter performance form B for FY 2018/2019. Update on functionality of status for 360-point water sources done during the quarter and findings indicated that the district has an average of 91.2 functionality rate.</p> <p>4th quarter progress report Ref CR:752/1 dated 7th July 2019 and was submitted to Ministry of water and environment on 12th July 2019 contained 4th quarter progress report, sanitation and hygiene report, software report and 3rd quarter performance report B for FY 2018/2019 and the budget analysis and deliverables which included stake holders coordination meeting ,formation of 16 water and sanitation committees, holding one social mobilizers meeting , environmental screening 16 water sources, rehabilitation of 18 old water sources, hydrological survey for 16 water sources and drilling of 16 boreholes was reported complete, successful and functional.</p> <p>A review MIS report of 2018/2019 on point water sources matched with the information contained in the district fourth quarter performance report received by the MWE on 5th August 2019. However, the assessor was not availed form 1 that were used to submit data in the previous FY 2018/2019.</p>	<p>5</p>

<p>The district Water department has submitted accurate/consistent reports/ data lists of water facilities as per formats provided by MoWE</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> List of water facility which are consistent in both sector MIS reports and PBS: score 5 	<p>The List of water facilities which are consistent in both sector MIS reports and PBS contained 511 water sources for instance were;</p> <p>Natwana water source, Ihagalo water source, Namuigo water source, Kiwa-nabuzi water source, Muhira water source, Bulago B water source, Nawampiti HCII borehole, Nawampiti P/S borehole, Buteesa borehole, Kasokwe TC borehole, Kanantege borehole, Budehe borehole, Buseru borehole, Kibembe P/s borehole, Butenga borehole.</p>	5
Procurement and contract management			
<p>The district Water department has submitted input for district's procurement plan, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the sector has submitted input for the district procurement plan to PDU that cover all investment items in the approved Sector annual work plan and budget on time (by April 30): score 4</p>	<p>The sector submitted in put for the district procurement plan to PDU that cover all investment items in the approved Sector annual work plan and budget on 22nd April 2019 and it was received on the same day by the Head, PDU within the required timeframe. The plan contained projects like drilling of 15 bore holes in the district at UGX 372,600,000, supply of spare parts for boreholes for rehabilitation at UGX153,605,846 and construction of a 4-stance lined pit latrine with a urinal at UGX 17,800,000</p>	4
<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> If the contract manager prepared a contract management plan and conducted monthly site visits for the different WSS infrastructure projects as per the contract management plan: score 2 	<p>The district appointed Mr. Mulyakubi Bob as contract manager for drilling, pump testing, casting and installation of 16 bore holes, Supply of furniture and printers and construction of a pit latrine at Bupyana RGC on 19th July 2018 and he prepared a contract management plan on 6th September 2018.</p> <p>According to the contract management plan, he was supposed to supervise the drilling of 16 bore holes in sub counties below the district safe water average from 6th September 2018 to 28th February 2019 and indeed, he implemented the activity on 31st December 2018 and 21st January 2019 for example, in Kasokwe sub county, in Kasokwe parish at Kasokwe trading Centre.</p> <p>The District also appointed Mr Kiribaki Laston as contract manager for siting and drilling supervision of 16 bores in the district and supply of spare parts on 19th July 2018 and he made a contract management plan 6th September 2018 in which he was to implement the activity between 6th September 2018 to 28th February 2019 and indeed , the monthly supervision report for February clearly indicates that the activity was implemented on 1st February 2019.</p> <p>Supervision report for construction of a four-stance lined pit latrine with a urinal and bathroom at Bupyana RGC in Gadumire s/c 2018/2019. Dated 28th March 2019.</p>	2

<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> If water and sanitation facilities constructed as per design(s): score 2 	<p>The water sources visited at Nawampiti HCII, Nawampiti P/S and Budehe C/U community borehole were all constructed as per the design indicated in the BOQs. For instance, the shallow well design was followed and the head assembly was approximately one metre from the ground and all were constructed in a distance of 100 meters away from the nearest pit latrines.</p>	<p>2</p>
<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> If contractor handed over all completed WSS facilities: score 2 	<p>The contractors handed over all completed WSS facilities to the district for instance, there was a hand over report by M/S Wairanda consult (U) Ltd dated 7th March 2019 addressed to the District Water officer informing him about the completion and hand over of the 4 stance lined pit latrine at Bupyana Trading centre in Gadumire sub county.</p>	<p>2</p>
<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> If DWO appropriately certified all WSS projects and prepared and filed completion reports: score 2 	<p>The DWO appropriately certified all WSS projects and prepared and filed completion reports for instance completion certificate for contract No KALI 561/WKS/2018-19/00002 to M/S MAA Technologies (U) LTD dated 3rd September 2019 for drilling pump testing and installation of 16 boreholes at UGX 313,343000 in the district completed on 25th January 2019.</p> <p>There was also completion certificate for contract No KALI 561/SRVS/2018-2019/0002 to Virmar Tech investments (U) LTD for siting and drilling supervision of 16 boreholes in the district at UGX 39,990,200 which was completed on 31st January 2019.</p>	<p>2</p>

<p>The district Water department has certified and initiated payment for works and supplies on time</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the DWOs timely (as per contract) certified and recommended suppliers for payment: score 3 points 	<p>There was documentary evidence e evidence that the DWOs timely (as per contract) certified and recommended suppliers for payment: as per the following sampled payment Vouchers</p> <p>Voucher No. 22/2 dated 28th February, 2019 of Shs 1000,000 Payee Great lakes Petroleum Kaliro Service Station for supply of fuel for Sensitizing of the Communities on water usage and Sanitation LPO Number 0007 Citified by DWO on 28th February 2019 fuel consumption Statements certified by DWO</p> <p>Payment effected on 28TH February 2019 within a day</p> <p>VR 25/9 dated 13th September 2018 of Shs 980,000 to Great Lakes Petroleum Kaliro Service station for supply of Fuel for sensitizing the Communities on water usage and Sanitation LPO Number 406 dated 14th September DWO certified on 14th September 2018. Payment effected on 14september 2018 within a day.</p> <p>Voucher No. 8/2 dated 12th February, 2019 of Shs. 105,993,400 for drilling Testing and Installation of 19boreholes to Maa Technologies at Matuga. Contract dated 10thSeptember, 2018. Certification made on 3rdDecember 2018. DWO certified requisition for Payment made by the Contractors on 15th December 2018. DWO Recommended for payment on 15th December 2018 Payment effected within 2months</p> <p>Voucher No. 37/9 dated 20thSeptember 2018 of Shs. 30,080,000 to Virmar Technical Investments1 Ltd dated 16th September, 2018. Certification done by the District Water Officer on 17th December 2018. Requisition for payment made on 17th September, 2018. District Water recommended for payment by signing on the requisition for payment on 17th September, 2018. Payment was effected on 20th September 2018. Payment effected within a period of 3 days.</p>	3
Financial management and reporting			
<p>The district Water department has submitted annual reports (including all quarterly reports) in time to the Plan- ning Unit</p> <p>Maximum 5 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the department submitted the annual performance report for the previous FY (including all four quarterly reports) to the Planner by mid-July for consolidation: score 5 	<p>There was no evidence that department submitted the annual performance report of FY 2018/19 to Planner for Consolidation.</p>	0

<p>The District Water Department has acted on Internal Audit recommendation (if any)</p> <p>Maximum 5 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year o If sector has no audit query score 5 o If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: score 3 If queries are not responded to score 0 	<p>There was documentary evidence by way of reports dated 23rd April 2019 and 11th January 2019 that the sector had provided information to the internal audit on the status of implementation of all audit findings for the FY 2018/2019. A total of 5 queries were raised and all the 5 were cleared.</p> <p>The Queries were as follows;</p> <p>First Quarter;</p> <ul style="list-style-type: none"> • Lack of Accountability for Shs 35,506,400 • Expenditure over and above the Budget of Shs 250,000 <p>Third Quarter</p> <ul style="list-style-type: none"> • Lack of Accountability Shs 19,361,638 • Missing Vouchers worth Shs 2,190,000 <p>Fourth Quarter</p> <ul style="list-style-type: none"> • Lack of Accountability for Shs 500,000 	<p>3</p>
<p>Governance, oversight, transparency and accountability</p>			
<p>The district committee responsible for water met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the council committee responsible for water met and discussed service delivery issues including supervision reports, performance assessment results, LG PAC reports and submissions from the District Water and Sanitation Coordination Committee (DWSCC) etc. during the previous FY: score 3 	<p>The district committee responsible for water was the works, production and Natural resources committee and in its sitting dated 16th April 2019 under minute No 20/WPNR/April/2018-2019, the committee discussed service delivery issues like water sector work plan which highlighted key action points for instance Kakosi Community bore hole which was drilled but did not yield water.</p> <p>In their sitting on 13th September, 2018 under min 05/WPNR/September/2018-2019/(iii), the committee received and adopted the water sector report from presentation to Council and it was presented and approved in the district Council on 31st May 2019 under Min No. 64/KDL/May/2018-2019(ii).</p>	<p>3</p>
<p>The district committee responsible for water met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the water sector committee has presented issues that require approval to Council: score 3 	<p>On 16th April 2019 under Minute No 20/WPNR/April/2018-2019/, the water sector work plan was recommended to council for approval and this effected on 23rd May, 2019 under Minute No 53/KDL/C/May/2018/2019(ii).</p>	<p>3</p>

<p>The district Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> The AWP, budget and the Water Development grant releases and expenditures have been displayed on the district notice boards as per the PPDA Act and discussed at advocacy meetings: score 2. 	<p>1st quarter release and expenditure UGX 175,800,387 was publicized on 27th August 2018 and it included the following contents.</p> <p>Development allocation was UGX 160,662,007.</p> <p>Recurrent expenditure allocation was UGX 7,017,554.</p> <p>Sanitation allocation was UGX 8,180,836.</p> <p>2nd quarter release and expenditure UGX 175,493,721 was publicized on 20th October 2018 and its contents were as follows</p> <p>Development allocation was UGX 165,312,885</p> <p>Sanitation allocation was UGX 8,180,836.</p> <p>3rd quarter release and expenditure UGX 183,893,120 was publicized on 15th February 2019 and its contents were as follows.</p> <p>Development allocation was UGX 170,046,217</p> <p>Recurrent expenditure allocation was UGX 8,180,836.</p> <p>Sanitation allocation was UGX 5,666,067.</p> <p>4th quarter release and expenditure was UGX 8,180,836 was publicized on 14th May 2019 and its contents were as follows.</p> <p>Recurrent expenditure allocation was UGX 8,180,836 and the rest of the items were not allocated any funds in the quarter under review.</p> <p>The district held a water advocacy meeting on 22nd November 2018 and under minute no 6/DWA/11/2018 they discussed the water status report which was presented by the water officer highlighting improvement in hand washing facilities from 43% to 46%</p>	<p>2</p>
<p>The district Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> All WSS projects are clearly labelled indicating the name of the project, date of construction, the contractor and source of funding: score 2 	<p>Nawampiti H/c 11 borehole was labeled as DWD 61498, DSCG, FY 2018/2019, constructed on 18th December, 2018 by MAA Technologies (U) LTD.</p> <p>Nawampiti P/S borehole was labelled as DWD 61497, DWSCG, FY 2018/2019 constructed on 17th December 2018 by MAA Technologies (U) LTD.</p> <p>Budehe C/U bore hole was labeled as DWD 61500, DWSCG, FY 2018/2019 constructed on 28th November 2018 by MAA Technologies (U) LTD.</p>	<p>2</p>
<p>The district Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> Information on tenders and contract awards (indicating contractor name /contract and contract sum) displayed on the District notice boards: score 2 	<p>Contract No KALI 156/WKS/2018-2019/00001 for drilling, pump testing, casting and installation of 16 bore holes in the district for FY 2018/2019 dated 19th September 2018 at UGX 313,343,000 was awarded to MAA Technologies (U) Ltd.</p> <p>contract No KALI 561/WKS/ SRVC/2018/2019/00002 for siting and drilling supervision of 16 bore holes in the district was dated 6th September 2018 at UGX 39,990,200 and was awarded to Virmar Technical investments Ltd.</p> <p>contract No KALI 561/WKS/2018/2019/00029 for construction of a 4-stance lined pit latrine at Gadumire RGC was dated 14th March 2019 at UGX 17,600,000 was awarded to M/s Wairanda consult Ltd.</p>	<p>2</p>

<p>Participation of communities in WSS programmes</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> If communities apply for water/ public sanitation facilities as per the sector critical requirements (including community contributions) for the current FY: score 1 	<p>Application for a bore hole by Nairinga village in Kyani parish in Bumanya s/c dated 4th February 2019 and community contribution UGX 200,000 was paid on 29th August 2019 via receipt No 013.</p> <p>Application for a bore hole by Buganza P/S in Buganza parish in Namugongo s/c dated 3rd February 2019 and community contribution UGX 200,000 was paid on 30th August 2019 via receipt no 017. Application for a bore hole by Butongole P/S in Kasokwe parish on 1st March 2019 and community contribution UGX 200,000 was paid on 29th August 2019 via receipt No 015</p>	<p>1</p>
<p>Participation of communities in WSS programmes</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> Water and Sanitation Committees that are functioning evidenced by either: i) collection of O&M funds, ii) carrying out preventive maintenance and minor repairs, iii) facility fenced/protected, or iv) they an M&E plan for the previous FY: score 2 <p>Note: One of parameters above is sufficient for the score.</p>	<p>There was evidence of functionality of water and sanitation committees as indicated by the minutes of Namajje A water source in Bukonde parish in Buyinda s/c dated 3rd December 2018 discussing collection of O&M of UGX 1000 per house hold.</p> <p>There was evidence of functionality of water and sanitation committee as indicated in the minutes held by Nabinyonyi water and Sanitation Committee in Budomero parish in Budomero sub county discussing collection of O&M of UGX 5000 per house hold and all the sampled water facilities were fenced, protected and functional.</p>	<p>2</p>
<p>Social and environmental safeguards</p>			
<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that environmental screening (as per templates) for all projects and EIAs (where required) conducted for all WSS projects and reports are in place: score 2 	<p>There was no evidence that environmental screening for projects was undertaken in FY 2018/19</p>	<p>0</p>
<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that there has been follow up support provided in case of unacceptable environmental concerns in the past FY: score 1 	<p>There was evidence of follow up support provided for un acceptable environmental concerns by the district environment officer and issued environmental certificate to that effect on 29th January 2019 for all water sources. However, no follow up was done for the public VIP latrines</p>	<p>0</p>

<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that construction and supervision contracts have clause on environmental protection: score 1 	<p>The AT did not see evidence to this effect</p>	<p>0</p>
<p>The district Water department has promoted gender equity in WSC composition.</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> If at least 50% WSCs are women and at least one occupying a key position (chairperson, secretary or Treasurer) as per the sector critical requirements: score 3 	<p>There was evidence that at least 50% of the WSCs are women and at least one occupying a key position for instance on Nsulumbi village borehole in Kisinda parish in Kisinda s/c, the WSC was comprised of 7 members out of which 4 were women and the vice chairperson, secretary and Treasurer were all women.</p> <p>On Muli village borehole in in Muhira parish in Nansolola s/c, the WSC was comprised of 7 members and 4 of which were women and the secretary and Treasurer were women.</p>	<p>3</p>
<p>Gender and special needs-sensitive sanitation facilities in public places/</p> <p>RGCs provided by the Water Department.</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> If public sanitation facilities have adequate access and separate stances for men, women and PWDs: score 3 	<p>Public sanitation facilities for instance a 4-stance lined pit latrine at Bupyana RGC in Gadumire s/c was constructed in FY 2018/2019 and the facility had a provision for separate stances for women and men and a ramp to provide accessibility for PWDs</p>	<p>3</p>